

STATE OF CALIFORNIA
Budget Change Proposal - Cover Sheet
 DF-46 (REV 08/15)

Fiscal Year 2016-17	Business Unit 0890	Department Secretary of State	Priority No. 10
Budget Request Name 0890-013-BCP-BR-2016-GB		Program 0705-ELECTIONS	Subprogram

Budget Request Description
 Ballot on Demand Systems: Electronic Poll Books (SB 439)

Budget Request Summary
 The Secretary of State (SOS) requests an ongoing augmentation of 1 position and \$93,000 from the General Fund for the testing and certification of electronic poll books as required by Senate Bill 439 (Allen), Chapter 734, Statutes of 2015.

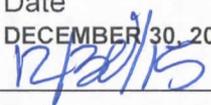
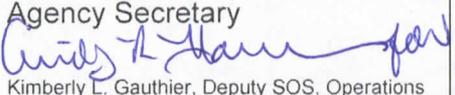
Requires Legislation <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Code Section(s) to be Added/Amended/Repealed
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Does this BCP contain information technology (IT) components? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, departmental Chief Information Officer must sign.</i>	Department CIO	Date
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For IT requests, specify the date a Special Project Report (SPR) or Feasibility Study Report (FSR) was approved by the Department of Technology, or previously by the Department of Finance.

FSR SPR Project No. Date:

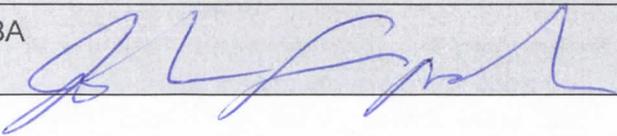
If proposal affects another department, does other department concur with proposal? Yes No
Attach comments of affected department, signed and dated by the department director or designee.

Prepared By  Becky Lopez, Budget Officer	Date DECEMBER 30, 2015 	Reviewed By  Kristin Dagsher, Fiscal Affairs Manager	Date DECEMBER 30, 2015
Department Director  Cindy Hankeman, Chief, Mgmt Svc Div	Date DECEMBER 30, 2015	Agency Secretary  Kimberly L. Gauthier, Deputy SOS, Operations	Date DECEMBER 30, 2015

Department of Finance Use Only

Additional Review: Capital Outlay ITCU FSCU OSAE CALSTARS Dept. of Technology

BCP Type: Policy Workload Budget per Government Code 13308.05

PPBA 	Date submitted to the Legislature 11/2/16
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Analysis of Problem

A. Budget Request Summary

The Secretary of State (SOS) requests an ongoing augmentation of 1 position and \$93,000 from the General Fund for the testing and certification of electronic poll books as required by Senate Bill 439 (Allen), Chapter 734, Statutes of 2015. SB 439 requires the SOS to adopt and publish electronic poll book standards and regulations governing the certification and use of electronic poll books and prohibits the use of an electronic poll book unless it has been certified.

B. Background/History *(Provide relevant background/history and provide program resource history. Provide workload metrics, if applicable.)*

An electronic poll book is an electronic version of the traditional paper poll book which contains a list of the registered voters in each precinct or district. An electronic poll book typically looks like a tablet or laptop computer and is used to more quickly and accurately check in a voter at his or her precinct or voting site. Many electronic poll books have a variety of other functionalities. For example, many electronic poll books have the capability to allow a poll worker to look up voters from the entire county or state, connect to a county or state voter registration database, notify a poll worker if a voter has already voted, allow a voter to sign in electronically, produce turnout numbers and lists of those who have voted, and receive immediate updates on who has voted in other voting jurisdictions.

Electronic poll books will ultimately permit early voting sites and traditional polling places to be linked to state and/or county voter databases, which could be accessed for voting integrity purposes and eventually reduce the need for expensive and time consuming provisional balloting.

In California, however, electronic poll books are in their infancy and simply serve as an electronic list of registered voters in the precinct or district. SB 439 breaks new ground and creates a new election-related policy that permits county elections officials to use new technology to assist in checking voters in at polling places and other voting sites and sets up processes and procedures for the review and approval of electronic poll books for use in California elections.

Resource History
(Dollars in thousands)

Program Budget	PY - 4	PY - 3	PY - 2	PY - 1	PY
Authorized Expenditures					
Actual Expenditures					
Revenues					
Authorized Positions					
Filled Positions					
Vacancies					

Workload History

Workload Measure	PY - 4	PY - 3	PY - 2	PY - 1	PY	CY
e.g., Applications Received, Applications Processed, Call Volume, etc.						

C. State Level Considerations

Analysis of Problem

SB 439 creates a new election-related policy that permits county elections officials to use new technology to assist in checking in voters at polling places and other voting sites, and sets up processes and procedures for the review and approval of electronic poll books for use in California elections. In order to ensure this technology is secure and promotes the integrity of the election, this bill requires the SOS to adopt and publish electronic poll book standards and regulations governing the certification and use of electronic poll books and prohibits an electronic poll book from being used unless it has been certified by the SOS.

D. Justification

Currently, the SOS does not review or approve electronic poll books in California. SB 439 creates a new election-related policy that sets up processes and procedures for the review and approval by the SOS for electronic poll books for use in California elections.

The requested amount reflects the costs associated with one PY to meet the new program activities for a total of \$93,000. Expenditure authority is requested in FY 2016-17 and ongoing for the following activities:

Activity	Amount
Electronic Poll Book Review, Testing and Approval	\$ 93,000

E. Outcomes and Accountability *(Provide summary of expected outcomes associated with Budget Request and provide the projected workload metrics that reflect how this proposal improves the metrics outlines in the Background/History Section.)*

The SOS will recruit to fill the requested position necessitated by the new workload and commence the review, testing, and approval of electronic poll books in California, as required by SB 439.

Projected Outcomes

Workload Measure	CY	BY	BY+1	BY+2	BY+3	BY+4
e.g., Applications Received, Applications Processed, Call Volume, etc.						

F. Analysis of All Feasible Alternatives

Alternative 1: Approve the BCP as submitted.

PROS:

- Allows the SOS to test and approve new electronic poll books as required by SB 439.

CONS:

- Increase to the General Fund expenditure of \$93,000 on an ongoing basis.

Analysis of Problem

Alternative 2: Do nothing.

PROS:

- No increase to the General Fund resources.

CONS:

- Additional workload cannot be absorbed and review, testing, and approval of electronic poll books would not be completed; thus, prohibiting their use in California elections.

G. Implementation Plan

Once approved, the SOS will begin the process for filling the position in order to implement the electronic poll book review, testing, and certification program, as required by SB 439.

H. Supplemental Information *(Describe special resources and provide details to support costs including appropriate back up.)*

I. Recommendation

Alternative 1. Approve the BCP as submitted. This would allow the SOS to test and approve electronic poll books as required.

BCP Fiscal Detail Sheet

BCP Title: Ballot on Demand Systems: Electronic Poll Books (SB 439)

DP Name: 0890-013-BCP-DP-2016-GB

Budget Request Summary

	FY16					
	CY	BY	BY+1	BY+2	BY+3	BY+4
Positions - Permanent	0.0	1.0	1.0	1.0	1.0	1.0
Total Positions	0.0	1.0	1.0	1.0	1.0	1.0
SALARIES AND WAGES						
Earnings - Permanent	0	51	51	51	51	51
Total Salaries and Wages	\$0	\$51	\$51	\$51	\$51	\$51
Total Staff Benefits	0	24	24	24	24	24
Total Personal Services	\$0	\$75	\$75	\$75	\$75	\$75
Operating Expenses and Equipment						
5301 - General Expense	0	10	10	10	10	10
5302 - Printing	0	1	1	1	1	1
5304 - Communications	0	1	1	1	1	1
5306 - Postage	0	1	1	1	1	1
5320 - Travel: In-State	0	3	3	3	3	3
5322 - Training	0	1	1	1	1	1
5344 - Consolidated Data Centers	0	1	1	1	1	1
Total Operating Expenses and Equipment	\$0	\$18	\$18	\$18	\$18	\$18
Total Budget Request	\$0	\$93	\$93	\$93	\$93	\$93

Fund Summary

Fund Source - State Operations						
0001 - General Fund	0	93	93	93	93	93
Total State Operations Expenditures	\$0	\$93	\$93	\$93	\$93	\$93
Total All Funds	\$0	\$93	\$93	\$93	\$93	\$93

Program Summary

Program Funding						
0705 - Elections	0	93	93	93	93	93
Total All Programs	\$0	\$93	\$93	\$93	\$93	\$93

