

STATE OF CALIFORNIA  
**Budget Change Proposal - Cover Sheet**  
 DF-46 (REV 08/15)

Fiscal Year 16-17	Business Unit <del>1110</del> 1111	Department Consumer Affairs	Priority No. 01
Budget Request Name <del>1110-020-BCP-BR-2016-GB</del> 1111		Program <b>1190 - CALIFORNIA BOARD OF OCCUPATIONAL THERAPY</b>	Subprogram

Budget Request Description  
 Enforcement Staff Augmentation

Budget Request Summary

The California Board of Occupational Therapy is requesting 3.0 Associate Governmental Program Analysts and 3.0 Staff Services Analysts as well as a budget augmentation of \$596,000 in FY 2016-17 and \$548,000 in FY 2017-18 and ongoing to address the permanent and on-going workload associated with the duties required for enforcement.

Requires Legislation <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Code Section(s) to be Added/Amended/Repealed
---	--

Does this BCP contain information technology (IT) components? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, departmental Chief Information Officer must sign.</i>	Department CIO	Date
--	----------------	------

For IT requests, specify the date a Special Project Report (SPR) or Feasibility Study Report (FSR) was approved by the Department of Technology, or previously by the Department of Finance.

FSR     SPR    Project No.    Date:

If proposal affects another department, does other department concur with proposal?  Yes  No  
*Attach comments of affected department, signed and dated by the department director or designee.*

Prepared By <i>[Signature]</i>	Date <i>25 Aug 2015</i>	Reviewed By <i>[Signature]</i>	Date <i>8/28/15</i>
Department Director <i>[Signature]</i>	Date <i>8-31-15</i>	Agency Secretary <i>[Signature]</i>	Date <i>9-2-15</i>

**Department of Finance Use Only**

Additional Review:  Capital Outlay  ITCU  FSCU  OSAE  CALSTARS  Dept. of Technology

P Type:  Policy  Workload Budget per Government Code 13308.05

PPBA	Original signed by Jeff Carosone	Date submitted to the Legislature <i>1-7-16</i>
------	-------------------------------------	--

# BCP Fiscal Detail Sheet

BCP Title: Occupational Therapy - Enforcement Staff Augmentation

DP Name: 1111-020-BCP-DP-2016-GB

## Budget Request Summary

	FY16					
	CY	BY	BY+1	BY+2	BY+3	BY+4
Positions - Permanent	0.0	6.0	6.0	6.0	6.0	6.0
<b>Total Positions</b>	<b>0.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>
Salaries and Wages						
Earnings - Permanent	0	326	326	326	326	326
<b>Total Salaries and Wages</b>	<b>\$0</b>	<b>\$326</b>	<b>\$326</b>	<b>\$326</b>	<b>\$326</b>	<b>\$326</b>
Total Staff Benefits	0	191	191	191	191	191
<b>Total Personal Services</b>	<b>\$0</b>	<b>\$517</b>	<b>\$517</b>	<b>\$517</b>	<b>\$517</b>	<b>\$517</b>
Operating Expenses and Equipment						
5301 - General Expense	0	10	10	10	10	10
5302 - Printing	0	3	3	3	3	3
5304 - Communications	0	8	8	8	8	8
5306 - Postage	0	3	3	3	3	3
5320 - Travel: In-State	0	1	1	1	1	1
5322 - Training	0	3	3	3	3	3
5344 - Consolidated Data Centers	0	3	3	3	3	3
5368 - Non-Capital Asset Purchases - Equipment	0	48	0	0	0	0
<b>Total Operating Expenses and Equipment</b>	<b>\$0</b>	<b>\$79</b>	<b>\$31</b>	<b>\$31</b>	<b>\$31</b>	<b>\$31</b>
<b>Total Budget Request</b>	<b>\$0</b>	<b>\$596</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>

## Fund Summary

Fund Source - State Operations						
3017 - Occupational Therapy Fund	0	596	548	548	548	548
<b>Total State Operations Expenditures</b>	<b>\$0</b>	<b>\$596</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>
<b>Total All Funds</b>	<b>\$0</b>	<b>\$596</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>

## Program Summary

Program Funding						
1190 - California Board of Occupational Therapy	0	596	548	548	548	548
<b>Total All Programs</b>	<b>\$0</b>	<b>\$596</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>	<b>\$548</b>

**Personal Services Details**

Positions	Salary Information			CY	BY	BY+1	BY+2	BY+3	BY+4
	Min	Mid	Max						
5157 - Staff Svcs Analyst (Gen) (Eff. 07-01-2016)				0.0	3.0	3.0	3.0	3.0	3.0
5393 - Assoc Govtl Program Analyst (Eff. 07-01-2016)				0.0	3.0	3.0	3.0	3.0	3.0
<b>Total Positions</b>				<b>0.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>
Salaries and Wages	CY	BY	BY+1	BY+2	BY+3	BY+4			
5157 - Staff Svcs Analyst (Gen) (Eff. 07-01-2016)	0	139	139	139	139	139			
5393 - Assoc Govtl Program Analyst (Eff. 07-01-2016)	0	187	187	187	187	187			
<b>Total Salaries and Wages</b>	<b>\$0</b>	<b>\$326</b>	<b>\$326</b>	<b>\$326</b>	<b>\$326</b>	<b>\$326</b>			
Staff Benefits									
5150350 - Health Insurance	0	74	74	74	74	74			
5150500 - OASDI	0	25	25	25	25	25			
5150600 - Retirement - General	0	82	82	82	82	82			
5150800 - Workers' Compensation	0	10	10	10	10	10			
<b>Total Staff Benefits</b>	<b>\$0</b>	<b>\$191</b>	<b>\$191</b>	<b>\$191</b>	<b>\$191</b>	<b>\$191</b>			
<b>Total Personal Services</b>	<b>\$0</b>	<b>\$517</b>	<b>\$517</b>	<b>\$517</b>	<b>\$517</b>	<b>\$517</b>			

## Analysis of Problem

### A. Budget Request Summary

The California Board of Occupational Therapy (Board) is requesting 3.0 Associate Governmental Program Analysts (AGPA) and 3.0 Staff Services Analysts (SSA) as well as a budget augmentation of \$596,000 in FY 2016-17 and \$548,000 in FY 2017-18 and ongoing to address the permanent and on-going workload associated with the duties required for enforcement.

### B. Background/History

The Board was established in 2001. Effective January 1, 2003, occupational therapy practitioners were required to become licensed in order to practice in California. The Board administers, coordinates, and enforces the Occupational Therapy Practice Act and investigates complaints against practitioners involving unprofessional, negligent, incompetent, or dishonest conduct. The Board's paramount priority is to protect the health, safety, and welfare of the public.

The Board ensures that candidates seeking licensure have successfully completed the educational requirements established in law and have passed the national certification examination to demonstrate entry level competence. The Board licenses approximately 1,000 new practitioners each year. Current licensing statistics reflect the Board has 12,110 occupational therapists and 2,470 occupational therapy assistants holding active licenses.

As the number of licensees increases, so do the number of complaints received on and violations committed by licensees. In the last four FYs the number of complaints the Board's Enforcement Unit has opened are as follows:

Workload Measure	2011-12 Actual	2012-13 Actual	2013-14 Actual	2014-15 Actual	2015-16 Estimated
Complaints Received	541	557	749	738	760
Complaints Closed	565	493	629	737	680
Pending	135	206	326	327	407
Avg. Time to Close	70	70	97	133	178
Range of days to close (each qtr.)	50-79	50-79	73-158	97-162	143-213

The majority of complaints received by the Board involve ethical issues (e.g. supervision, billing and documentation, scope of practice, practicing on an expired license, and failing to meet continuing education requirements for license renewal). California is unique in that it has three areas of practice that have been designated as "advanced practice" which require occupational therapists complete additional post-professional education and training, and Board approval in order to provide these services to the public. Occurring less frequently, but far more egregious, are those complaints involving patient harm or patient care issues or substance use/abuse affecting or impairing a licensee's ability to practice.

Since FY 2011-12, the number of open/pending cases has continued to increase resulting in an enforcement backlog of open complaint cases.

The continual increase in pending complaints (or open complaints awaiting investigation) is a direct result from a steady increase in complaints received as well as not having sufficient staffing levels to address the workload. Despite existing staff closing complaints each year, the on-going insufficient staffing level has led to an increase in the number of pending complaints and increased processing times, both in the time it takes to investigate as well as the amount of time it takes to transmit the cases to the Division of Investigation (DOI) and the Office of Attorney General (AG). As a result of the increase in applicants and the increased licensing population, there has been growth in the number of cases the Board receives annually and the amount of pending cases annually. Therefore, the Board is

## Analysis of Problem

in need of additional resources. As complaints continue to remain shelved until an analyst is able to review them, licensees are allowed to continue with their daily duties without the Boards knowledge of the potential harm they are or could be causing. Without the additional resources, the Boards pending cases will continue to grow, resulting in the potential of consumer harm.

Performance Measures	FY 12-13		FY 13-14		FY 14-15	
	# of Cases	Ave Cycle Time (Days)	# of Cases	Ave Cycle Time (Days)	# of Cases	Ave Cycle Time (Days)
Investigation Time*	473	70	619	97	TBD	TBD
Formal Discipline*	4	261	15	704	7	665
RANGE OF DAYS TO IMPOSE DISCIPLINE (EACH QTR)		177-886		309-1027		556-831

\*Total cases investigated and the average number of days from receipt of complaint to the closure of the in-house investigation.

\*\*Formal discipline cases closed at the AG level; includes complaint received date/intake by the Board, investigation, and discipline effective date.

### Resource History (Dollars in thousands)

Program Budget	PY - 4	PY - 3	PY - 2	PY - 1	PY
Authorized Expenditures	\$1,438	\$1,350	\$1,498	\$1,337	\$1,393
Actual Expenditures	\$1,267	\$1,240	\$1,429	\$1,217	\$1,393
Revenues	\$979	\$1,144	\$1,202	\$1,257	\$1,237
Authorized Positions	11	8.3	7.7	7.7	7.7
Filled Positions	7.7	8.2	7.6	7.7	7.7
Vacancies	3.3	0.1	0.1	0.0	0.0

### Workload History

Workload Measure	PY - 4	PY - 3	PY - 2	PY - 1	PY	CY
e.g., Case intake, desk investigations, complains, etc.	SEE ATTACHED WORKLOAD					

## C. State Level Considerations

The Department of Consumer Affairs (DCA) was established to protect consumer interests through licensing and regulation of specific professions, occupations, and businesses. As referenced in its mission statement, the DCA's responsibility is to serve the interests of California consumers by ensuring a standard of professionalism in key industries and promoting informed consumer practices.

The Board, working under the umbrella of the DCA, regulates the practice of occupational therapy ensuring only qualified persons are licensed and that appropriate standards of competency and practice are established and enforced. Current staffing deficiencies threaten the Boards ability to comply with its mandate of public protection, by impeding consumers, the Boards licensee population, and other stakeholders from effectively communicating with the Board.

## D. Justification

Protecting the public is the Board's primary mandate. This is accomplished by ensuring applicants meet minimum qualifications and by requiring licensees to complete continuing education to support practitioner competence. Having adequate staffing levels to process applications for licensure and perform continuing competence audits on renewing licensees is imperative to ensure the Board meets

## Analysis of Problem

its consumer protection mandate. Currently, the Board's Enforcement Unit is comprised of the following positions: (1) .8 AGPA; (1) full-time SSA; (1) .9 SSA; and (1) .9 OT.

Over the past several years, the applications for licensure received by the Board and the number of licenses issued, has increased steadily. Application/license data for the past few fiscal years is as follows:

Fiscal Year	OT Apps Received	OT Licenses Issued	OTA Apps Received	OTA Licenses Issued	TOTAL Apps Received	TOTAL Licenses Issued
2008-09	627	601	128	124	755	725
2009-10	757	692	104	106	861	798
2010-11	746	647	129	137	875	784
2011-12	826	790	180	185	1,006	975
2012-13	849	860	262	256	1,111	1,116
2013-14	986	854	323	291	1,309	1,145
2014-15	979	960	331	322	1,310	1,283

An increase in applications received by the Board has resulted in an increasing licensing population. An increase in applicants has also resulted in increase in the number of applicants with criminal backgrounds and an increase in licensing population has resulted in a continual growth in the number of complaints received/initiated against licensees. Unchanged staffing levels, coupled with an increasing complaint volume, have led to an increase in the pending/open complaints. In the last three years, the number of pending complaints has increased more than 140% (from 135 pending complaints in 2011-12 to 327 pending complaints in 2014-15) due to the fact that the volume of complaints exceeds staff capacity to investigate and process timely. Failure to process and investigate complaints timely can result in delays in issuance of citations or transmittal of cases to Department of Investigations (DOI) and the AG. The processing, investigation, and transmittal delays result in longer processing times to effectuate formal discipline, whose primary purpose is to protect the public and prevent future harm. Formal discipline may involve denying an application for a license or license revocation and/or suspension.

When violations don't warrant denial or revocation of a license, the license is placed on probation so that the Board can monitor the licensees' performance. A probation period provides the Board the opportunity to monitor the licensee's compliance with ordered Terms and Conditions (e.g. monitor insurance billing, completion of specified continuing education, submission of quarterly reports, abstention from controlled substances, monitoring of their tests results if biological fluid testing is ordered, and/or monitor their repayment of restitution, if restitution or repayment is ordered). The Board has used existing enforcement staff to monitor licensees placed on probation and this function cannot continue to be absorbed or redirected. The Board needs additional staff specifically designated to perform this function.

Due to inadequate staffing levels, probationers are not met with in-person nor are quarterly Written Probation Reports (submitted by probationers) and Work Performance Evaluations (submitted by supervisors/employers) being reviewed in a timely manner to ascertain if terms and conditions are being met. Failure to review quarterly Probation Reports and Work Performance Evaluations in a timely manner to determine if there are any performance issues, results in the Board's consumer protection mandate not being met. Additionally, when staff is redirected to address high profile probation issues, it takes away from efforts in trying to close its increasing backlog of open complaints. Without increased staffing levels, the integrity and effectiveness of the Board's Probation program is undermined and the Board's mission to protect consumers is compromised.

## Analysis of Problem

The steady increase in pending complaints (or open complaints awaiting investigation) is a direct result from a steady increase in complaints received as well as not having sufficient staffing levels to address the workload. Despite existing staff closing more complaints each year, the on-going insufficient staffing level has also led to increased processing times, both in the time it takes to investigate as well as the amount of time it takes to transmit the cases to the AG.

As noted in the workload justification, for several fiscal years, the Board had insufficient resources to accomplish its necessary workload. This has created significant enforcement caseload carryover from fiscal year to fiscal year. Adding additional resources to the Boards Enforcement Unit will assist in completing investigations and probation audits in a more timely fashion, minimizing actual and potential consumer harm. Without these resources, the Board will continue experience unacceptable delays in performing its investigations and audits, thus impacting its consumer protection mandate.

### E. Outcomes and Accountability

This BCP will provide adequate staffing levels to ensure the Board meets its consumer protection mandate. The Board will be able to investigate complaints in a timely manner, timely transmit cases to the AG that warrant disciplinary action, and appropriately and effectively monitor licensees placed on probation. Once the Board has adequate staffing levels to support the Enforcement functions, processing timeframes will reduce, including complaint investigation, the time it takes to transmit a case to DOI or the AG, the amount of time that passes from intake to formal discipline, and the number of open/pending complaints. Failure to augment staff to appropriate levels will prevent the Board from timely and efficiently investigate complaints and take disciplinary action in a timely manner to fulfill its consumer protection mandate.

#### Projected Outcomes

Workload Measure	CY	BY	BY+1	BY+2	BY+3	BY+4
e.g., Applications Received, Applications Processed, Call Volume, etc.	<b>SEE ATTACHED WORKLOAD</b>					

### F. Analysis of All Feasible Alternatives

1. Augment the Boards staffing levels by 3.0 Associate Governmental Program Analysts and 3.0 Staff Services Analysts as well as a budget augmentation of \$596,000 in FY 2016-17 and \$548,000 in FY 2017-18 and ongoing to address the permanent and on-going workload associated with the duties required for enforcement.

Pro - Staffing at this level will provide the resources necessary to address the increase in complaints, reduce processing times for investigating complaints, reduce the open number of pending complaints, refer cases to the AGO for discipline in a timely manner, and provide probationers with monitoring and oversight as required. The Board's paramount priority is consumer protection, this level of staffing is appropriate to ensure all work activities are performed timely and efficiently to achieve the Board's consumer protection mandate and preserve the public safety.

Con – Failing to augment staff at this level will not support the Board's needs to effectively and efficiently administer all aspects of its enforcement program in a timely manner. Without additional staff, public safety is compromised by the length of time it takes to investigate complaints and remove licensees from practice.

## Analysis of Problem

2. Augment the Boards staffing levels by 2.0 AGPAs, 2.0 SSAs, and 2.0 SSAs (two-year limited term funding) and a budget augmentation of \$575,000 in FY 2016-17, \$527,000 in FY 2017-18 and \$366,000 in FY 2018-19 to address the current backlog and permanent and on-going workload associated with the duties required for enforcement. Failure to augment staff to appropriate levels will prevent the Board from timely and efficiently investigating complaints and taking disciplinary action in a timely manner; this will result in continual increased processing times as well as a backlog of open/pending complaints.

Pro - Staffing at this level will enable the Board to refer cases to DOI for investigation or to the AGO for discipline sooner than it is able to do so now, which will help the Board effect discipline in a more timely manner. The use of limited-term staff will help also reduce the open number of complaints by providing the resources to investigate complaints more timely.

Con - Staffing at this level will provide additional resources that are needed to help reduce processing times for the Board's enforcement activities, however it will not provide the ongoing budget authority to adequately fund the staffing on an ongoing basis.

3. Augment the Boards staffing levels by 2.0 AGPAs and 2.0 SSA's as well as a budget augmentation of \$398,000 in FY 2016-17 and \$366,000 in FY 2017-18 and ongoing to address the current permanent and on-going workload associated with the duties required for enforcement. Failure to augment staff to appropriate levels will prevent the Board from timely and efficiently investigating complaints and taking disciplinary action in a timely manner; this will result in continual increased processing times as well as a backlog of open/pending complaints.

Pro - Staffing at this level will help reduce the open number of complaints and enable the Board to refer cases to the AG for discipline sooner than using existing resources.

Con - Staffing at this level will provide additional resources that are needed to help reduce processing times for the Board's enforcement activities, however it will only partially address the Board's anticipated complaint workload.

4. Maintain status quo, which will lead to continual increased processing times and failing to protect the public by delaying discipline for licensees who violate federal and state laws.

Pro - Failing to augment staff will result in no increase to the Board's salaries and wages line item; thus, saving the Board money.

Con - Failing to augment staff means the Board will be unable to investigate complaints, transmit cases to DOI or the AGO in a timely manner and will result in a continually increasing number of open (pending) complaints; this will prevent Board staff from effectively and timely fulfilling the Board's consumer protection mandate.

## G. Implementation Plan

<u>DATE</u>	<u>TASK</u>
June 2016 – July 2016	Recruit and hire for the enforcement unit.
July 2016 – September 2016	Train new staff in enforcement.

## H. Supplemental Information

None

**I. Recommendation**

Alternative #1 is recommended as the feasible solution to permanently address the well-documented existing and future workload in the Board's Enforcement unit. It would provide the Board with the appropriate staffing levels to ensure all work activities are performed in a timely manner and imposition of formal discipline is not delayed.

Occupational Therapy  
FY 2016-17 Enforcement BCP  
Workload Analysis

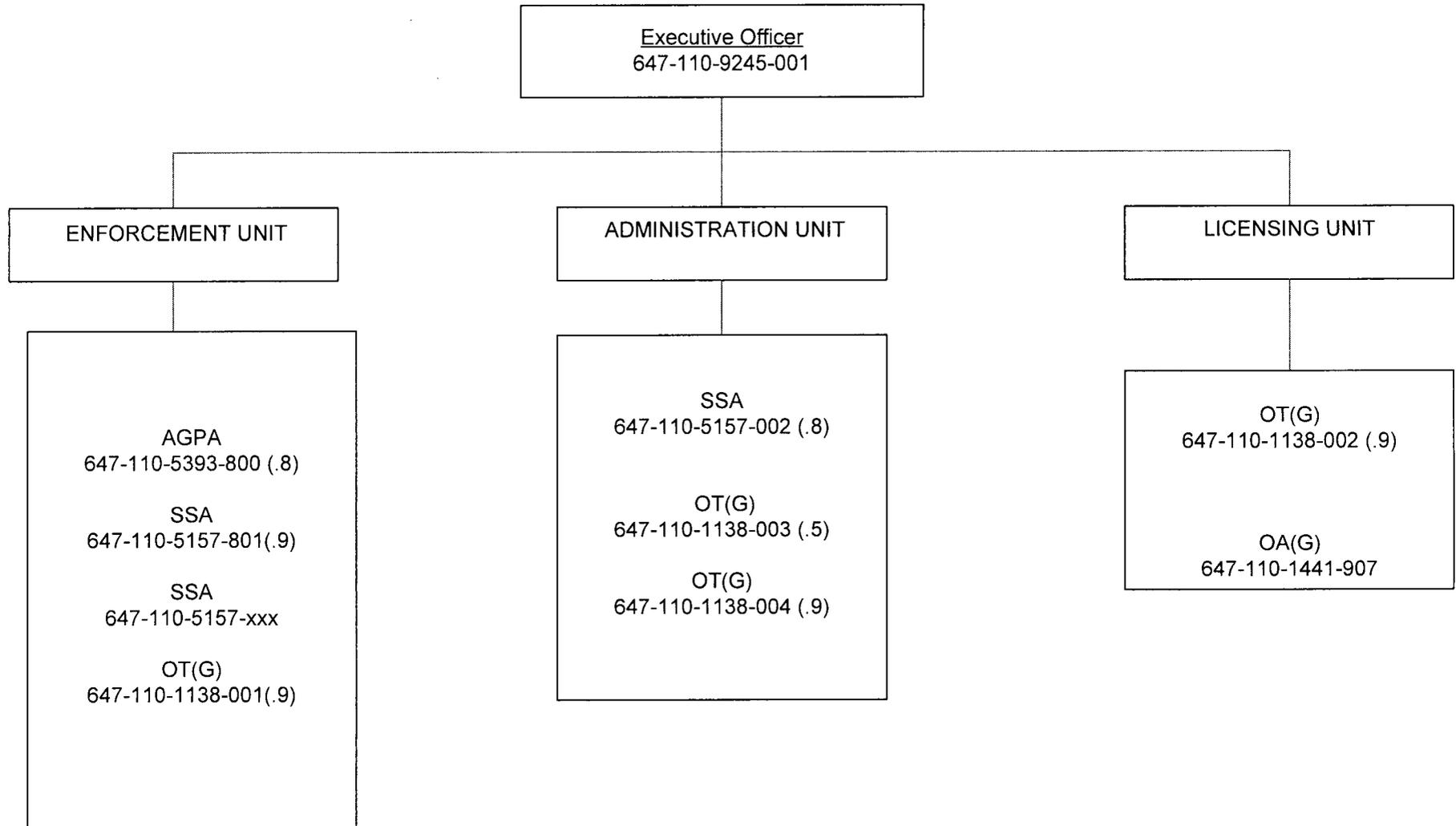
Enforcement Analyst Workload Duties	FY 14-15			FY 15-16			FY 16-17		
	Hours per Task	Units Per Year	Total Hours	Hours per Task	Units Per Year	Total Hours	Hours per Task	Units Per Year	Total Hours
<b>Analyst</b>									
Case intake / Desk Investigation Complaint reviewed. Board staff obtains evidence (includes licensee statement, employer declaration, patient release/authorization, obtain patient/billing/payroll records, arrest/court/criminal records, facility policies & procedures, personnel records, investigative repts, drug test results, witness declarations, issue subpoenas, and any follow up requests); telephone and email communication with complainants and subjects. Prepare request for service for Field Investigations. Obtain expert guidance or opinions when needed. Prepare investigation summary and make recommendations. (Range of 6 - 30 hrs)	20.00	738	14,760	20.00	760	15,200	20.00	783	15,660
Complete Request for Service in CATS database to initiate case with DOI and add reminder to calendar to follow-up with investigator after 90 days	1.00	59	59	1.00	61	61	1.00	63	63
Review DOI report for completeness, accuracy and make sure facts match information in supporting documents; advise DOI if corrections are needed or a supplemental report is necessary	1.00	52	52	1.00	54	54	1.00	55	55
External Expert review (SMEs) - complaint and evidence reviewed, research, request additional information, review opinion prepared by expert	12.00	2	24	12.00	2	24	12.00	2	24
Review investigation/investigatory materials and prepare case summary; make recommendation to close case, issue citation or transmit to AGO.	1.50	738	1,107	1.50	760	1,140	1.50	783	1,175
Schedule, prepare, hold initial Orientation meeting with Probationer by phone or in person; update CAS with date meeting held	2.00	5	10	2.00	6	12	2.00	7	14
Review/Approve/Reject continuing education plans (Update CAS and 'summary' sheet in probation folder with itemized list of approved courses, prepare letter to subject, make copy of letter for file and mail.)	1.00	5	5	1.00	6	6	1.00	7	7
Initial approval of employer and supervisor, contact employer and supervisor, mail work performance evaluation to supervisor (Update CAS and probation folder.)	2.00	5	10	2.00	6	12	2.00	7	14
FirstLab set up for BFT testing - if required (Send email to FirstLab rep with the probationer's info, start date and testing frequency, update CAS & set up BFT folder)	0.50	5	3	0.50	3	2	0.50	4	2
Review & approval of change in employment, hours, facility, or change in supervisor (Contact employer & supervisor, mail work performance evaluation, update probation folder, prepare and mail approval letter, make CAS entry and place copy of letter in probation folder.)	2.00	42	84	2.00	43	86	2.00	45	90
Review quarterly written report, work performance evaluation, support group attendance, psychological reports, cost recovery payment status, continuing education requirements. (Update probation folder, prepare and mail compliance or non compliance letter, update CAS, place a copy of letter in probation folder.)	2.50	76	190	2.50	78	195	2.50	81	203
Emails and phone calls from supervisors	0.30	42	13	0.30	43	13	0.30	45	14
Probation violations (Prepare violation letter, update CAS, mail letter and place a copy in the probation folder.)	1.00	2	2	1.00	2	2	1.00	2	2
Positive test review (requires review of probationer's prescribed medications, duration the prescribing health provider ordered the medication, and/or a letter to probationer requesting reason for positive test)	0.75	20	15	0.75	21	16	0.75	20	15
Petition for Reinstatement, Petition for Modification of Probation, Petition for Termination of Probation (communicate/coordinate hearing date with petitioner), transmit case to AG, communicate and consult with assigned DAG, copy petition package for ALJ and Board Members, send request to set to OAH, serve Notice of Hearing, review decision from OAH, send decision to Board Members confirm/verify decision is as they ordered, mail to Board president for signature, serve to petitioner.	28.00	3	84	28.00	3	84	28.00	3	84
<b>Total Hours</b>			<b>16,417</b>			<b>16,906</b>			<b>17,421</b>
<b>PY equivalent in hours</b>			<b>1,776</b>			<b>1,776</b>			<b>1,776</b>
<b>Total PYs</b>			<b>9.2</b>			<b>9.5</b>			<b>9.8</b>
<b>Current PY's</b>			<b>2.7</b>			<b>2.7</b>			<b>2.7</b>
<b>Needed PY's</b>			<b>6.5</b>			<b>6.8</b>			<b>7.1</b>

July 1, 2015

DEPARTMENT OF CONSUMER AFFAIRS  
CA BOARD OF OCCUPATIONAL THERAPY

CURRENT  
FY 2015-16

7.7 Permanent Positions

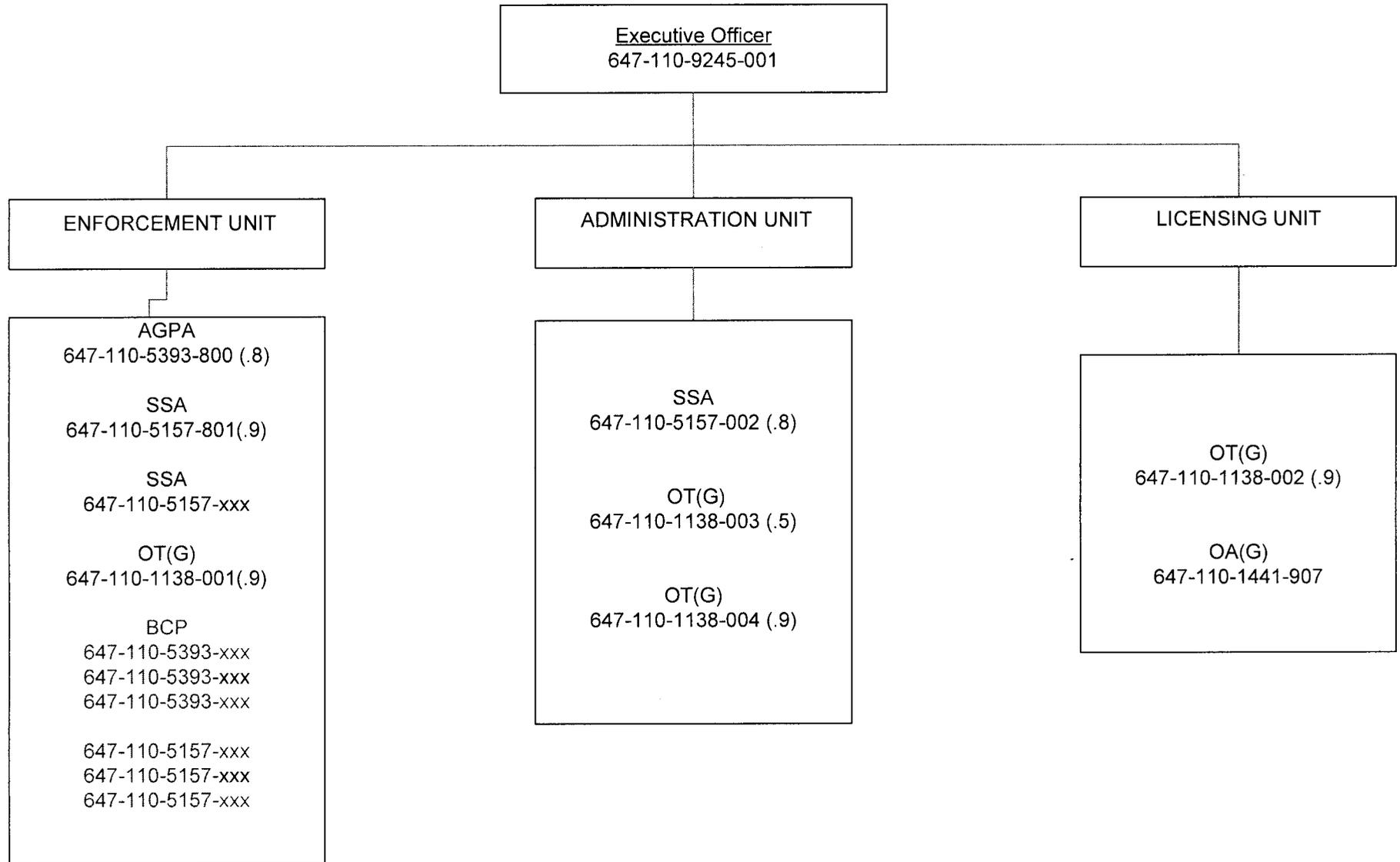


July 1, 2016

DEPARTMENT OF CONSUMER AFFAIRS  
CA BOARD OF OCCUPATIONAL THERAPY

Proposed  
FY 2016-17

13.7 Permanent Positions



Director

Executive Officer

Office of Human Resources

# 3017 - Board of Occupational Therapy Analysis of Fund Condition

Prepared 8/27/2015

2015-16 BUDGET ACT  
w/Proposed BCP

	ACTUAL 2014-15	CY 2015-16	Gov Budget BY 2016-17	BY+1 2017-18
<b>BEGINNING BALANCE</b>	\$ 2,925	\$ 2,983	\$ 2,825	\$ 1,899
Prior Year Adjustment	\$ -3	\$ -	\$ -	\$ -
Adjusted Beginning Balance	\$ 2,922	\$ 2,983	\$ 2,825	\$ 1,899
<b>REVENUES AND TRANSFERS</b>				
Revenues:				
125600 Other regulatory fees	\$ 39	\$ 34	\$ 34	\$ 34
125700 Other regulatory licenses and permits	\$ 214	\$ 158	\$ 158	\$ 158
125800 Renewal fees	\$ 949	\$ 998	\$ 998	\$ 998
125900 Delinquent fees	\$ 14	\$ 16	\$ 16	\$ 16
141200 Sales of documents	\$ -	\$ -	\$ -	\$ -
142500 Miscellaneous services to the public	\$ 19	\$ 8	\$ 8	\$ 8
150300 Income from surplus money investments	\$ 8	\$ 14	\$ 6	\$ 3
150500 Interest income from interfund loans	\$ -	\$ -	\$ -	\$ -
160400 Sale of fixed assets	\$ -	\$ -	\$ -	\$ -
161000 Escheat of unclaimed checks and warrants	\$ 1	\$ 1	\$ 1	\$ 1
161400 Miscellaneous revenues	\$ 1	\$ -	\$ -	\$ -
164300 Penalty Assessments	\$ 14	\$ 8	\$ 8	\$ 8
Totals, Revenues	\$ 1,259	\$ 1,237	\$ 1,229	\$ 1,226
Transfers from Other Funds				
Transfers to Other Funds				
Totals, Revenues and Transfers	\$ 1,259	\$ 1,237	\$ 1,229	\$ 1,226
Totals, Resources	\$ 4,181	\$ 4,220	\$ 4,054	\$ 3,125
<b>EXPENDITURES</b>				
Disbursements:				
0840 SCO (State Operations)	\$ -	\$ -	\$ -	\$ -
8880 Financial Information System for California (State Operation	\$ 1	\$ 2	\$ -	\$ -
1110 Program Expenditures (State Operations)	\$ 1,197	\$ 1,393	\$ 1,438	\$ 1,467
Enforcement BCP			\$ 596	\$ 548
Licensing BCP			\$ 121	\$ 105
	\$ 1,198	\$ 1,395	\$ 2,155	\$ 2,120
<b>FUND BALANCE</b>				
Reserve for economic uncertainties	\$ 2,983	\$ 2,825	\$ 1,899	\$ 1,005
Months in Reserve	25.7	15.7	10.7	5.6