

STATE OF CALIFORNIA  
**Budget Change Proposal - Cover Sheet**  
 DF-46 (REV 08/15)

Fiscal Year 2016-17	Business Unit 4265	Department California Department of Public Health	Priority No.
Budget Request Name 4265-011-BCP-DP-2016-GB		Program 4045032 Family Health	Subprogram

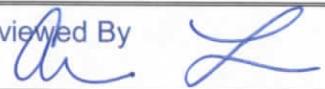
Budget Request Description  
 California Personal Responsibility Education Program (CA PREP)

Budget Request Summary

The California Department of Public Health (CDPH) requests \$6.4 million (\$700,000 in State Operations and \$5.7 million in Local Assistance) in federal fund expenditure authority, and the conversion of 5.0 limited-term positions to permanent positions, to continue the California Personal Responsibility Education Program (CA PREP), which is administered through the Maternal, Child and Adolescent Health Program.

Requires Legislation <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Code Section(s) to be Added/Amended/Repealed	
Does this BCP contain information technology (IT) components? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, departmental Chief Information Officer must sign.</i>	Department CIO	Date
For IT requests, specify the date a Special Project Report (SPR) or Feasibility Study Report (FSR) was approved by the Department of Technology, or previously by the Department of Finance. <input type="checkbox"/> FSR <input type="checkbox"/> SPR                      Project No.                      Date:		

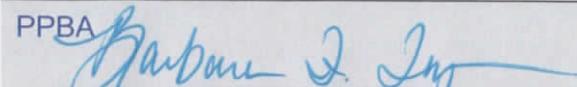
If proposal affects another department, does other department concur with proposal?  Yes  No  
 Attach comments of affected department, signed and dated by the department director or designee.

Prepared By 	Date 1-6-16	Reviewed By 	Date 1/6/16
Department Director 	Date 1/6/16	Agency Secretary 	Date 1/7/16

Department of Finance Use Only

Additional Review:  Capital Outlay  ITCU  FSCU  OSAE  CALSTARS  Dept. of Technology

BCP Type:  Policy  Workload Budget per Government Code 13308.05

PPBA 	Date submitted to the Legislature 1/8/2016
--	---

## A. Budget Request Summary

The California Department of Public Health (CDPH) requests \$6.4 million (\$700,000 in State Operations and \$5.7 million in Local Assistance) in federal fund expenditure authority, and the conversion of 5.0 limited-term positions to permanent positions, to continue the California Personal Responsibility Education Program (CA PREP), which is administered through the Maternal, Child and Adolescent Health Program.

## B. Background/History

The Patient Protection and Affordable Care Act of 2010 amended Title V of the Social Security Act (42 U.S.C. 701 et. seq.) to include a new formula grant program entitled the Personal Responsibility Education Program (PREP). The purpose of PREP funding is to reduce birthrates and sexually transmitted infections among high-need adolescents through evidence-based sexual health education.

The adolescent birth rate in the United States decreased significantly over the past 30 years, reaching a record low of 26.5 live births per 1,000 female youth aged 15 to 19 in 2013. In California, the decline has been even more substantial, from an adolescent birth rate of 70.9 per 1,000 in 1991 to 23.2 per 1,000 in 2013. While great progress has been made, there are still substantial disparities in rates of adolescent childbearing and sexually transmitted infections based on race, ethnicity, geography, and other social and demographic characteristics. Notably, in California nearly three out of four adolescent births are to Hispanic mothers, although Hispanic females account for only one-half of the adolescent population. Other vulnerable populations include youth in the foster care and juvenile justice systems, homeless/runaway youth, female adolescents with major mental illnesses, and male and female youth who identify as lesbian, gay or bisexual<sup>1</sup>. These populations tend to have higher rates of early pregnancy, childbearing and/or sexually transmitted infections including the Human Immunodeficiency Virus when compared to other adolescents. Thus, these vulnerable adolescents are in substantial need of targeted sexual health education and support services.

CA PREP has received five years of continuous funding, and on April 2014, the U.S. Senate approved H.R. 2, "The Medicare Access and Children's Health Insurance Program Reauthorization Act of 2015," by a vote of 92-8. This Act extended the Personal Responsibility Education Program (PREP) through Federal Fiscal Year 2017 at its current annual funding level of \$75 million nationwide. California will receive \$6.4 million of this national allocation in Federal Fiscal Year 2016, which began October 1, 2015.

Given that CA PREP is part of the Affordable Care Act, CDPH anticipates annual funding to continue beyond the current Federal Fiscal Year 2017 extension, based on strong federal interest in and support for evidence-based adolescent pregnancy prevention -- and an invitation to re-apply for funding.

<sup>1</sup> Demographics: Sexual Health. (n.d.). Retrieved January 4, 2016, from [http://www.actforyouth.net/adolescence/demographics/sexual\\_health.cfm#2](http://www.actforyouth.net/adolescence/demographics/sexual_health.cfm#2)

## Resource History

Program Budget	2010-11	2011-12	2012-13	2013-14	PY 2014-15
Authorized Expenditures	-	6,549,854	6,800,000	6,694,690	6,080,706
Actual Expenditures	-	724,998	6,771,499	6,129,832	5,977,514
Revenues	-	-	-	-	-
Authorized Positions	-	5	5	5	5
Filled Positions	-	5	5	5	5
Vacancies	-	0	0	0	0

\*There was no authority or expenditures in 2010-11.

## Workload History

Workload Measure	2010-11	2011-12*	2012-13	2013-14	2014-15	2015-16**
Number of local agencies participating in PREP	-	-	21	21	21	22
Number of cohorts (groups of youth) participating in PREP	-	-	613	1,043	957	791
Number of youth enrolled who completed the program	-	-	8,477	13,704	12,987	8,955

\*Program implementation began in November 2012, therefore there is no program data to report prior to 2012-13.

\*\*These measures are projections because the Current Year is not yet complete. Projections for the Current Year are lower because it is the start of a new program cycle, and new agencies will require the first two quarters of the year to prepare for implementation before they can begin providing program services. This preparation includes: finalizing contracts, hiring staff, acquiring materials, attending training, and identifying and formalizing agreements with implementation sites.

## C. State Level Considerations

This proposal supports the CDPH 2014-17 Strategic Map, Strategic Objectives C-2, Strategic Objectives A-5, and Cross-Cutting Strategic Priorities F to Develop and Use Evidence-Based Public Health Interventions, Strengthen Linkages with Local Health and Tribal Jurisdictions, and Achieve Health Equity through Public Health Policies and Programs.

In addition, this proposal also supports the Administration's policies to: promote healthy lifestyles for individuals and families; assure access to care for all mothers and children; and reduce or eliminate health disparities while promoting health equity.

## D. Justification

CA PREP is designed to reduce rates of adolescent births and sexually transmitted infections through evidence-based sexual health education. CA PREP provides medically accurate, age-appropriate information about sexual and reproductive health that many youth do not receive from any other source. The curricula used are evidence-based; initial CA PREP program knowledge outcomes strongly support the effectiveness of the curricula. The positions requested through this Budget Change Proposal are required to ensure that all of the

intervention components and activities are being properly implemented with fidelity to the respected models by the agencies operating CA PREP. Furthermore, the positions are needed to comply with the requirements of the PREP grant and to ensure future funding without adversely impacting other critical Maternal, Child and Adolescent Health Program Division functions.

The main goal of CA PREP is to reduce rates of births and sexually transmitted infections, including the Human Immunodeficiency Virus, among high-need youth populations. CA PREP agencies deliver sexual health education through evidence-based program models that have been shown to change sexual risk-taking behavior, including delaying sexual activity, reducing the number of sexual partners, and increasing condom and/or contraceptive use among sexually active youth.

There are currently 22 local entities participating in CA PREP, consisting of 6 county government agencies and 16 non-profit community-based organizations in 20 counties. Only California counties with a high need for adolescent sexual health education and services are eligible to participate in the program. CA PREP agencies are required to: 1) educate California's highest-need and most vulnerable adolescents on both abstinence and contraception through implementing evidence-based program models; 2) address at least three adulthood preparation subjects such as Adolescent Development, Healthy Life Skills, and Parent Child Communication; 3) create family planning clinical linkages; and 4) maintain a community coalition of stakeholders to engage community members in actions that change social norms. The goals of these activities are to: decrease adolescent pregnancies and sexually transmitted infections; support meaningful opportunities to increase resiliency and self-efficacy to avoid harmful behaviors; ensure access to youth-friendly reproductive health services; and increase community support of healthy youth development and reduction of risky sexual behaviors. Since program implementation began in 2012, over 35,723 youth have been served.

This program ensures that underserved adolescents participating in the program are provided the knowledge and motivation to make informed decisions around their sexual and reproductive health.

In the absence of this program:

1. Approximately 35,000 of the highest need youth across California – including youth in the foster care system, homeless and runaway youth, and youth in juvenile justice facilities – would be denied the opportunity to learn how to make safe and healthy sexual and romantic decisions, through evidence-based health education.
2. 22 local agencies across California would abruptly lose funding, eliminating jobs for local agency staff.
3. California's role as a national leader for reproductive health success would be compromised.

## **E. Outcomes and Accountability**

As a federal PREP funding grant recipient, CDPH is required to submit semi-annual Performance Progress Reports and annual Performance Measure Reports to the U.S. Department of Health & Human Services, Administration for Children & Families, Family & Youth Services Bureau. These reports require measures across the following areas: fidelity monitoring; youth demographics, participation, and experiences; challenges and successes in

program implementation; and staff competency, among others. Over the course of the grant period, CA PREP has met all grant requirements listed above. Since program implementation began in 2012, CA PREP has successfully met the challenges of bringing a new program to scale, complying with extensive federal data collection requirements while adhering to state laws and CDPH priorities, and contributing to the creation of a sustainable adolescent sexual health workforce in California. CDPH program staff are responsible for program monitoring, evaluation, data collection, and technical assistance to local agencies.

Three workload measures are included in the projected outcomes table: 1) number of agencies participating in PREP, 2) number of cohorts participating in PREP, and 3) number of youth enrolled completing the program. Cohorts refer to a group of youth who enter and complete the program together (i.e. a class). During FY 2014-15, CA PREP agencies served 12,987 youth across 21 local agencies. In totality, since program implementation began in 2012, CA PREP has served 35,723 youth statewide.

**Projected Outcomes**

Workload Measure	2015-16	2016-17	2017-18	2018-19	2019-20**	2020-21**
Number of local agencies participating in PREP	22	22	22	22	22	22
Number of cohorts (groups of youth) participating in PREP	791*	1,055	1,100	1,150	1,200	1,250
Number of youth enrolled completing the program	8,955*	11,940	12,100	12,650	13,200	13,750

Projections for the Current Year are lower because it is the start of a new program cycle, and new agencies will require the first two quarters of the year to prepare for implementation before they can begin providing program services. This preparation includes finalizing contracts, hiring of staff, acquiring materials, attending training, and identifying and formalizing agreements with implementation sites.

\*\* Please note that outcomes for the Current Year, Budget Year, and Budget Year+1 are based on 2015 submissions to the competitive CA PREP Request for Applications for the 2015-18 cycle. CA PREP operates in three-year cooperative agreement cycles. Agencies are chosen through a competitive Request for Application process. Meaningful projections will not be available for Budget Year+2, Budget Year+3 and Budget Year+4 until the Request for Applications for the 2018-21 cycles is released in fall/winter 2017. Therefore, there is no information yet on the number of agencies that will be awarded through this competitive process, or the volume of program services anticipated. Tentative projections have been provided for Budget Year+2, Budget Year+3 and Budget Year+4, but they are subject to change following the 2018-21 Request for Application process.

**F. Analysis of All Feasible Alternatives**

**Alternative I:** Increase federal fund expenditure authority by \$700,000 in State Operations to convert 5.0 limited term positions to permanent positions, and \$5.7 million in Local Assistance for CA PREP.

**Pros:**

- Provides continuation of CA PREP, state level coordination, and targeting of resources to those most at risk.
- Allows staff to meet federal reporting and tracking requirements to ensure financial data is accurate, timely and transparent. Aligns with CDPH goals and objectives.
- All positions will be established within the federal funds available, with no increase in General Fund spending.

**Cons:**

- Requires an increase in federal fund expenditure authority.

**Alternative II:** Increase federal fund expenditure authority by \$700,000 in State Operations and redirect 5.0 permanent positions from elsewhere in CDPH, and increase expenditure authority by \$5.7 million in Local Assistance for the CA PREP.

**Pros:**

- Provides continuation of CA PREP, state level coordination, and targeting of resources to those most at risk.
- Allows staff to meet federal reporting and tracking requirements to ensure financial data is accurate, timely and transparent. Aligns with CDPH goals and objectives.
- No increase in General Fund spending.

**Cons:**

- Redirection of positions from other areas in CDPH may adversely affect those programs.
- Requires an increase in federal fund expenditure authority.

**Alternative III:** Increase federal fund expenditure authority by \$700,000 in State Operations and establish 5.0 limited-term positions, and increase expenditure authority by \$5.7 million in Local Assistance for the CA PREP.

**Pros:**

- Provides limited term continuation of CA PREP, state level coordination, and targeting of resources to those most at risk.
- Limited term staff will meet federal reporting and tracking requirements to ensure financial data is accurate, timely and transparent. Aligns with CDPH goals and objectives.
- All positions will be established within the federal fund available, with no increase in General Fund spending.

**Cons:**

- Requires an increase in federal fund expenditure authority.
- Staff in limited-term positions may seek permanent positions outside of program, leaving vacancies that would impact the program's ability to report and administer the program at the local level.
- Per CA PREP's grant requirements, potential sanctions and/or elimination of funds would be imposed if federally mandated requirements are not met. Improvements in teen birth rate could be compromised.

**Alternative IV:** Do not approve this proposal.

**Pros:**

- No additional positions are established.
- No increase in expenditure authority.

**Cons:**

- At-risk and underserved populations identified would not be provided the critical services and improved Maternal, Child and Adolescent Health Program outcomes associated with pregnancy prevention since Local Assistance funding would not be made available.
- Reporting would be seriously compromised and federal funding would be at-risk.
- Per CA PREP's grant requirements, potential sanctions and/or elimination of funds would be imposed if federally mandated requirements are not met. Improvements in teen birth rate could be compromised.

**G. Implementation Plan**

Continuation of current program functions.

**H. Supplemental Information**

Not applicable

**I. Recommendation**

**Approve Alternative I** to increase federal fund expenditure authority by \$700,000 in State Operations to convert 5.0 limited term positions to permanent positions, and \$5.7 million in Local Assistance for the CA PREP.

## CA PREP Budget Change Proposal Fiscal Year 15-16

## Positions Requested to Implement, Maintain, Monitor, and Support the Grant Program

## Health Program Manager I – (1.0)

Activity	Number of Items	Average Hours per Item	Total Annual Hours
Assist Division Chief in the policy, managerial, technical, and administrative aspects of the California Personal Responsibility Education Program.	4	40	160
Integrate program activities with other state, local, and federal public health agencies to ensure consistency with the goals of the Department.	10	20	200
Assist in the management of the program.	3	60	180
Review and oversee timely submissions of legislative analyses, reports, audit reports, and controlled correspondence to Center for Family Health.	4	45	180
Manage, supervise, and lead CA PREP program staff.	7	50	350
Assure compliance with Federal requirements. Plan and develop analysis of proposed legislation and regulation related to the State PREP grant and advise on policy implications.	3	60	180
On behalf of the Division Chief, participate with local agencies, advocates, and other stakeholders to achieve consensus on program goals, policy issues, and program operational issues. Negotiate sensitive issues, prepare and give presentations at conferences and meetings.	10	18	180
Provide oversight to ensure 1) CA PREP implementation, monitoring, and evaluation activities are consistent with Federal expectations and mandates and 2) grantee compliance with sub-award contracts.	4	45	180
Edit and approve final versions of reports, issue memoranda, position papers, budget change proposals, press releases, controlled correspondence, bill analyses, presentations, and articles for publication.	19	10	190
<b>Total hours for workload projected for this classification</b>			<b>1,800</b>
<b>1,800 hours = 1 Position</b>			
<b>Actual number of Positions requested</b>			<b>1.0</b>

## CA PREP Budget Change Proposal Fiscal Year 15-16

**Health Program Specialist I – (2.0)**

<b>Activity</b>	<b>Number of Items</b>	<b>Average Hours per Item</b>	<b>Total Annual Hours</b>
Maintain and update program standards, scopes of work, policies and procedures for the program.	8	20	160
Support research team in addressing evaluation needs.	12	10	120
Serve as CA PREP expert in developing provider training content and design. Provide technical assistance to sub-awardees.	122	7	854
Assist in review of reports from sub-awardees to assure fidelity to the model and identify problem areas that may be preventing programs from meeting their benchmarks.	240	2	480
Conduct regular conference calls and visits with agencies to support and evaluate program implementation.	122	10	1220
Attend sub-awardee training 2-3 times per year.	6	10	60
Assist in developing CA PREP Requests for Application/Requests for Proposal.	2	48	96
Assist in the review of CA PREP Requests for Application/Requests for Proposal applications.	122	5	610
<b>Total hours for workload projected for this classification</b>			<b>3,600</b>
<b>1,800 hours = 1 Position</b>			
<b>Actual number of Positions requested</b>			<b>2.0</b>

## CA PREP Budget Change Proposal Fiscal Year 15-16

**Associate Governmental Program Analyst – (1.0)**

<b>Activity</b>	<b>Number of Items</b>	<b>Average Hours per Item</b>	<b>Total Annual Hours</b>
Support development of scopes of work, grant applications, and contracts.	10	36	360
Prepare written correspondence to contractors.	40	2	80
Analyze proposed legislation that impacts CA PREP.	5	10	50
Coordinate review and approval of program products for external dissemination.	10	4	40
Track and manage contracts for the PREP Program.	4	60	240
Maintain all required contract documentation and fiscal records. Coordinate responses to information requests, ad hoc drills and management requests.	1	620	620
Provide technical and administrative assistance to contractor(s) regarding contract terms and conditions and applicable fiscal policy.	10	36	360
Assist in the development, review and issuance of CA PREP Requests for Application.	10	5	50
<b>Total hours for workload projected for this classification</b>			<b>1,800</b>
<b>1,800 hours = 1 Position</b>			
<b>Actual number of Positions requested</b>			<b>1.0</b>

## CA PREP Budget Change Proposal Fiscal Year 15-16

**Office Technician (1.0) Program**

<b>Activity</b>	<b>Number of Items</b>	<b>Average Hours per Item</b>	<b>Total Annual Hours</b>
Process mail and filing. Format reports, letters, tables, charts and labels. Assemble final documents to Department specifications.	200	3	600
Screen incoming correspondence and telephone calls. Review and mail correspondence involving the knowledge and application of detailed regulations, policies, and procedures of CA PREP. Communicate effectively with other program staff. Accurately route calls and correspondence to appropriate CA PREP staff.	150	4	600
Assist in scheduling of trainings, site visits, hotel and plane reservations, and reserve meeting rooms.	200	3	600
<b>Total hours for workload projected for this classification</b>			<b>1,800</b>
<b>1,800 hours = 1 Position</b>			
<b>Actual number of Positions requested</b>			<b>1.0</b>

# BCP Fiscal Detail Sheet

BCP Title: California Personal Responsibility Education Program (CA PREP)

DP Name: 4265-011-BCP-DP-2016-GB

## Budget Request Summary

	FY16					
	CY	BY	BY+1	BY+2	BY+3	BY+4
Positions - Permanent	0.0	5.0	5.0	5.0	5.0	5.0
<b>Total Positions</b>	<b>0.0</b>	<b>5.0</b>	<b>5.0</b>	<b>5.0</b>	<b>5.0</b>	<b>5.0</b>
Salaries and Wages						
Earnings - Permanent	0	341	341	341	341	341
<b>Total Salaries and Wages</b>	<b>\$0</b>	<b>\$341</b>	<b>\$341</b>	<b>\$341</b>	<b>\$341</b>	<b>\$341</b>
Total Staff Benefits	0	167	167	167	167	167
<b>Total Personal Services</b>	<b>\$0</b>	<b>\$508</b>	<b>\$508</b>	<b>\$508</b>	<b>\$508</b>	<b>\$508</b>
Operating Expenses and Equipment						
5301 - General Expense	0	54	54	54	54	54
5302 - Printing	0	9	9	9	9	9
5304 - Communications	0	7	7	7	7	7
5320 - Travel: In-State	0	65	65	65	65	65
5322 - Training	0	2	2	2	2	2
5324 - Facilities Operation	0	53	53	53	53	53
5344 - Consolidated Data Centers	0	2	2	2	2	2
54XX - Special Items of Expense	0	5,700	5,700	5,700	5,700	5,700
<b>Total Operating Expenses and Equipment</b>	<b>\$0</b>	<b>\$5,892</b>	<b>\$5,892</b>	<b>\$5,892</b>	<b>\$5,892</b>	<b>\$5,892</b>
<b>Total Budget Request</b>	<b>\$0</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>

## Fund Summary

Fund Source - State Operations						
0890 - Federal Trust Fund	0	700	700	700	700	700
<b>Total State Operations Expenditures</b>	<b>\$0</b>	<b>\$700</b>	<b>\$700</b>	<b>\$700</b>	<b>\$700</b>	<b>\$700</b>
Fund Source - Local Assistance						
0890 - Federal Trust Fund	0	5,700	5,700	5,700	5,700	5,700
<b>Total Local Assistance Expenditures</b>	<b>\$0</b>	<b>\$5,700</b>	<b>\$5,700</b>	<b>\$5,700</b>	<b>\$5,700</b>	<b>\$5,700</b>
<b>Total All Funds</b>	<b>\$0</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>

## Program Summary

Program Funding						
4045032 - Family Health	0	6,400	6,400	6,400	6,400	6,400
<b>Total All Programs</b>	<b>\$0</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>	<b>\$6,400</b>

