

STATE OF CALIFORNIA
CAPITAL OUTLAY
BUDGET CHANGE PROPOSAL (COBCP)
COVER PAGE (REV 06/15)

DEPARTMENT OF FINANCE
915 L Street
Sacramento, CA 95814
IMS Mail Code: A15

BUDGET YEAR 2016-17

BUSINESS UNIT: 5225 COBCP NO: 2 PRIORITY: 4 PROJECT ID: 0000397

DEPARTMENT: California Department of Corrections and Rehabilitation

PROJECT TITLE: Statewide: Budget Packages and Advance Planning

TOTAL REQUEST (DOLLARS IN THOUSANDS): \$250 MAJOR/MINOR: Major

PHASE(S) TO BE FUNDED: S PROJ CAT: CRI CCCI/EPI: N/A

SUMMARY OF PROPOSAL:

This request provides annual funding to perform advance planning and prepare budget packages for capital outlay projects to enable the Department to provide detailed information on scope and costs of requests for planned projects.

HAS A BUDGET PACKAGE BEEN COMPLETED FOR THIS PROJECT? (E/U/N/?): N

REQUIRES LEGISLATION (Y/N): N IF YES, LIST CODE SECTIONS: _____

REQUIRES PROVISIONAL LANGUAGE (Y/N) N

IMPACT ON SUPPORT BUDGET: ONE-TIME COSTS (Y/N): N FUTURE COSTS (Y/N): N

FUTURE SAVINGS (Y/N): N REVENUE (Y/N): N

DOES THE PROPOSAL AFFECT ANOTHER DEPARTMENT (Y/N): N IF YES, ATTACH COMMENTS OF AFFECTED DEPARTMENT SIGNED BY ITS DIRECTOR OR DESIGNEE.

SIGNATURE APPROVALS:

Heather Mireles, SSMI, Planning Unit
PREPARED BY DATE

Jan Krueger, Chief, Planning Unit
DEPARTMENT DIRECTOR DATE

Dean Borg, Deputy Director, FPCM 12/31/15
REVIEWED BY DATE

Deborah Hysen, Director, FPCM 12/31/15
AGENCY SECRETARY DATE

DOF ANALYST USE

DOF ISSUE # _____ PROGRAM CAT: _____ PROJECT CAT: _____ BUDG PACK STATUS: _____
ADDED REVIEW: SUPPORT: _____ OCIO: _____ FSCU/ITCU: _____ OSAE: _____ CALSTARS: _____

PPBA: _____
Original Signed by:
Koreen Hansen

DATE SUBMITTED TO LEGISLATURE: January 7, 2016

A. PURPOSE OF THE PROJECT

Introduction:

The California Department of Corrections and Rehabilitation (CDCR) currently operates 34 adult prisons and 3 juvenile facilities, along with 44 adult and juvenile conservation camps. The range of capital outlay needs across the facilities is broad and varied. The development of well-documented and justified capital outlay requests for funding consideration in the annual Budget Act requires the development of budget packages. Additionally, CDCR may need to perform advance planning functions such as environmental reviews and site assessments to determine the feasibility of future capital outlay requests.

To perform these functions, CDCR has been provided with a Statewide Budget Package and Advance Planning appropriation (herein after referred to as Advance Planning) in the annual Budget Act. Provisional language is included with this appropriation limiting it to projects that meet both of the following two criteria: (1) the project being studied has not already received funding from the Legislature and (2) the project is being prepared for funding consideration in future Governor's Budgets or five-year capital outlay plans.

Problem:

Most of the 37 adult and juvenile facilities within CDCR have been in operation from 20 to 75 years, with the oldest (San Quentin State Prison) having been in operation for more than 150 years. The project needs at these prisons range from infrastructure repairs or improvements in order to meet environmental regulatory actions, to the expansion of facilities in order to better provide rehabilitative programs. Many prisons continue to require upgrades related to safety and security for both staff and inmates.

CDCR's ability to request the funds necessary to perform these projects depends upon budget packages that thoroughly identify the project's scope and provide a well-developed estimate of costs. The funding provided in this appropriation is necessary for the development of budget packages for projects that will be proposed in future Budget Acts.

The Advance Planning appropriation is also necessary because CDCR's current employees are not available (due to existing workload) or qualified to provide the work products funded by this appropriation. The existing workload for CDCR's architectural and engineering staff includes providing direct design services for funded minor capital outlay and special repair projects; managing the work of consultants providing direct design services; providing professional design troubleshooting services at adult and juvenile facilities statewide; and providing construction support for Inmate/Ward Labor construction projects. CDCR does not have appropriate state classifications to perform estimating and scheduling services, a critical component of budget packages.

B. RELATIONSHIP TO THE STRATEGIC PLAN

This project is consistent with Goal 3 of the 2010/2015 Strategic Plan:

Goal 3: Employ Best Practices in Correctional Custody, Care, and Rehabilitation

Outcome: Superior prisons and youth facilities

Key Performance Indicator: National Correctional Standards

Objective 3.6: By June 30, 2014, facilities will reduce the rate of incidents that interfere with orderly facility operations by 20 percent through the implementation of a proactive Incident Prevention Strategy.

Incidents that interfere with orderly facility operations in California's prisons affect the ability to provide basic rehabilitative services, the ability to meet mandates established by the courts relative to access to care, and can result in significant fiscal liability. CDCR will implement a proactive Incident Prevention Strategy to protect the safety of the public, staff, and offenders.

C. ALTERNATIVES

Alternative #1: Fund the CDCR Advance Planning appropriation for \$250,000. Workload funded by the Advance Planning appropriation will continue to include budget packages for capital outlay projects at adult prisons and juvenile facilities and any necessary site assessments and environmental reviews.

Cost:

- \$250,000

Funding Source:

- General Fund

Advantage of this Alternative:

- Provides a funding source for development of budget packages for capital outlay projects, and for site assessments and environmental reviews at existing adult and juvenile facilities.

Disadvantage of this Alternative:

- None.

Alternative #2: Do not provide an Advance Planning appropriation in the 2016 Budget Act.

Cost:

- None.

Funding Source:

- None.

Advantage of this Alternative:

- None.

Disadvantage of this Alternative:

- Will not provide a funding source for the development of budget packages for capital outlay projects and for site assessments and environmental reviews at existing adult and juvenile facilities. In-house resources are not available for these services.

D. RECOMMENDED SOLUTION

1. Which alternative and why?

Alternative #1: Fund the CDCR Advance Planning appropriation for \$250,000. The development of budget packages and other studies is critical for proposing capital outlay projects in future budget cycles. In-house resources are not available for these services.

2. Detail scope description.
This appropriation will fund the development of budget packages for capital outlay projects at adult prisons and juvenile facilities, along with advance planning activities such as site assessments and environmental reviews.
3. Basis for cost information.
This 2016-17 request is based on prior Budget Act appropriations to perform advance planning and prepare budget packages for capital outlay projects, as well as current anticipated needs.
4. Factors/benefits for recommended solution other than the least expensive alternative.
The development of accurate budget packages is important to the proposal and approval of critical capital outlay projects needed to address infrastructure and other needs at CDCR's adult and juvenile institutions.
5. Complete description of impact on support budget.
There is no impact on the support budget if this request is approved. If this request is not approved, existing resources within CDCR's support budget may need to be utilized to develop budget packages.
6. Identify and explain any project risks.
No risks are identified with this proposal.
7. List requested interdepartmental coordination and/or special project approval (including mandatory reviews and approvals, e.g. technology proposals).
Pursuant to the provisional language included in the annual Budget Act, any expenditure of funds from the appropriation requested by this proposal must be approved in advance by the Department of Finance.
8. Schedule:
These funds will be fully encumbered within the 2016-17 fiscal year.

E. CONSISTENCY WITH GOVERNMENT CODE SECTION 65041.1:

1. Does the recommended solution (project) promote infill development by rehabilitating existing infrastructure and how? Explain.

Yes, this project will be completed at an existing CDCR facility.
2. Does the project improve the protection of environmental and agricultural resources by protecting and preserving the state's most valuable natural resources? Explain.

Yes, this project will be completed at an existing CDCR facility.
3. Does the project encourage efficient development patterns by ensuring that infrastructure associated with development, other than infill, support efficient use of land and is appropriately planned for growth? Explain

Yes, this project will be completed at an existing CDCR facility.

STATE OF CALIFORNIA
 CAPITAL OUTLAY BUDGET CHANGE PROPOSAL (COBCP)
 SCOPE/ASSUMPTIONS WORKSHEET

Budget Year 2016-17

Proj ID: 0000397

Department Title: California Department of Corrections & Rehabilitation
 Project Title: Statewide: Budget Packages and Advance Planning
 Program Category: Other - Critical Infrastructure
 Program Subcategory:

BU/Entity:	5225
Program ID	4615
COBCP #:	2
Priority:	4
MAMI:	MA

Project Specific Proposals: For new projects provide proposed Scope language. For continuing projects provide the latest approved Scope language. Enter Scope language in cell A110.

Conceptual Proposals: Provide a brief discussion of proposal defining assumptions supporting the level of funding proposed by fiscal year in relation to outstanding need identified for that fiscal year. (Also include scope descriptions for BY+1 through BY+4 in cell A110).

This proposal requests funding to perform advance planning and prepare budget packages to enable the Department to provide detailed information on scope and costs for requested capital outlay projects that will be considered in future Governor's Budgets or five-year capital outlay plans.