

STATE OF CALIFORNIA  
**Budget Change Proposal - Cover Sheet**  
 DF-46 (REV 08/15)

Fiscal Year 16/17	Business Unit 8260	Department California Arts Council	Priority No. 1
Budget Request Name 8260-002-BCP-BR-2016-MR		Program <b>6540 -ARTS COUNCIL</b>	Subprogram

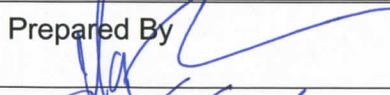
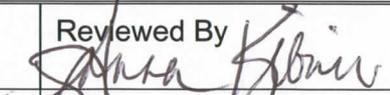
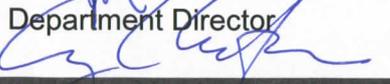
Budget Request Description  
 Additional reimbursement authority for Arts-in-Corrections expansion

Budget Request Summary

The California Arts Council requests additional reimbursement authority of \$4,000,000 in FY 16/17, and \$6,000,000 in FY 17/18 and ongoing, with a request for position authority for two additional positions to expand the current Arts-in-Corrections programming and to administer the resultant additional workload. Currently, 16/17 Arts-in-Corrections proposed funding would come entirely through a \$2,000,000 Interagency Agreement (IA) between the California Arts Council (CAC) and the California Department of Corrections and Rehabilitation (CDCR). The current level of funding received from CDCR allows the CAC to partially implement the program at 20 of the CDCR Adult Institutions. The increased funding would allow the CAC to fully program the existing 20 facilities and would also allow the CAC to expand the Arts-in-Corrections to fully program the remaining CDCR Adult Institutions. A program meeting the state's critical needs and priorities will be supported with this augmentation.

Requires Legislation <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Code Section(s) to be Added/Amended/Repealed	
Does this BCP contain information technology (IT) components? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, departmental Chief Information Officer must sign.</i>	Department CIO	Date
For IT requests, specify the date a Special Project Report (SPR) or Feasibility Study Report (FSR) was approved by the Department of Technology, or previously by the Department of Finance. <input type="checkbox"/> FSR <input type="checkbox"/> SPR                      Project No.                                      Date:		

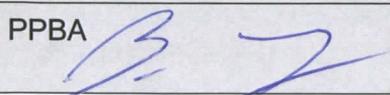
If proposal affects another department, does other department concur with proposal?  Yes  No  
 Attach comments of affected department, signed and dated by the department director or designee.

Prepared By 	Date 5/5/16	Reviewed By 	Date 5/5/16
Department Director 	Date 5/5/16	Agency Secretary	Date

**Department of Finance Use Only**

Additional Review:  Capital Outlay  ITCU  FSCU  OSAE  CALSTARS  Dept. of Technology

BCP Type:  Policy  Workload Budget per Government Code 13308.05

PPBA 	Date submitted to the Legislature May 13, 2016
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# BCP Fiscal Detail Sheet

BCP Title: Arts-in-Corrections Program Expansion

DP Name: 8260-002-BCP-DP-2016-MR

## Budget Request Summary

	FY16					
	CY	BY	BY+1	BY+2	BY+3	BY+4
Positions - Permanent	0.0	2.0	2.0	2.0	2.0	2.0
<b>Total Positions</b>	<b>0.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>
Salaries and Wages						
Earnings - Permanent	0	134	134	134	134	134
<b>Total Salaries and Wages</b>	<b>\$0</b>	<b>\$134</b>	<b>\$134</b>	<b>\$134</b>	<b>\$134</b>	<b>\$134</b>
Total Staff Benefits	0	56	56	56	56	56
<b>Total Personal Services</b>	<b>\$0</b>	<b>\$190</b>	<b>\$190</b>	<b>\$190</b>	<b>\$190</b>	<b>\$190</b>
Operating Expenses and Equipment						
5302 - Printing	0	25	50	50	50	50
5320 - Travel: In-State	0	75	100	100	100	100
5322 - Training	0	58	75	75	75	75
5340 - Consulting and Professional Services - External	0	3,577	5,435	5,435	5,435	5,435
5368 - Non-Capital Asset Purchases - Equipment	0	75	150	150	150	150
<b>Total Operating Expenses and Equipment</b>	<b>\$0</b>	<b>\$3,810</b>	<b>\$5,810</b>	<b>\$5,810</b>	<b>\$5,810</b>	<b>\$5,810</b>
<b>Total Budget Request</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>

## Fund Summary

Fund Source - State Operations						
0001 - General Fund	0	0	0	0	0	0
0995 - Reimbursements	0	4,000	6,000	6,000	6,000	6,000
<b>Total State Operations Expenditures</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>
<b>Total All Funds</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>

## Program Summary

Program Funding						
6540 - Arts Council	0	4,000	6,000	6,000	6,000	6,000
<b>Total All Programs</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>



## Analysis of Problem

### A. Budget Request Summary

The California Arts Council requests additional reimbursement authority of \$4,000,000 in FY 16/17, and \$6,000,000 in FY 17/18 and ongoing, with a request for position authority for two additional positions to expand the current Arts-in-Corrections programming and to administer the resultant additional workload. Currently, 16/17 Arts-in-Corrections proposed funding would come entirely through a \$2,000,000 Interagency Agreement (IA) between the California Arts Council (CAC) and the California Department of Corrections and Rehabilitation (CDCR). The current level of funding received from CDCR allows the CAC to partially implement the program at 20 of the CDCR Adult Institutions. The increased funding would allow the CAC to fully program the existing 20 facilities and would also allow the CAC to expand the Arts-in-Corrections to fully program the remaining CDCR Adult Institutions. A program meeting the state's critical needs and priorities will be supported with this augmentation.

### B. Background/History *(Provide **relevant** background/history and provide program resource history. Provide workload metrics, if applicable.)*

The CAC and CDCR, starting in FY 13/14 have partnered through an Interagency Agreement to create and operate a successful Arts-in-Corrections program in California. "Arts-in-Corrections" is a comprehensive term to describe the direct instruction and guidance in the creation of and participation in the visual, performing, literary or media arts to inmates in correctional settings. Arts-in-Corrections programming is provided by professional artists – individuals who have actively participated in their particular arts discipline and who are recognized as experts by their peers in the arts field ("Arts-in-Corrections Providers" or "Providers"). Those inmates who are actively involved in the creation and participation of the arts under the guidance of the Providers are the Arts-in-Corrections participants ("Participants" or "Inmate Participants"). To date the CAC has reached over 2,000 Inmate Participants through the Arts-in-Corrections program.

The first two years of Arts-in-Corrections were executed as a pilot, under which the CAC collected unscheduled reimbursements. Because funding levels were uncertain at the time, multiple augmentations for one-time funding occurred and a permanent level of reimbursement authority for the program was never established. The CAC collected a total of \$1 million from CDCR in 2013-14, \$1.86 million in 2014-15, and \$3.6 million in 2015-16 (including \$1.5 million in one-time funding). In early January 2016, an interagency agreement was finalized between the CAC and CDCR to continue Arts-in-Corrections from July 1, 2015 to June 30, 2017.

**Resource History**  
*(Dollars in thousands)*

<b>Program Budget</b>	<b>PY - 3</b>	<b>PY - 2</b>	<b>PY - 1</b>	<b>PY</b>	<b>CY</b>
Authorized Expenditures	0	0	1,000	1,816	3,589
Actual Expenditures	0	0	1,000	1,500	3,589

<b>Workload Measure</b>	<b>PY - 3</b>	<b>PY - 2</b>	<b>PY - 1</b>	<b>PY</b>	<b>CY</b>
Facilities with Arts-in-Corrections Programming	<b>0</b>	<b>0</b>	<b>16</b>	<b>19</b>	<b>20</b>
Contracts awarded to Arts-in-Corrections Providers*	<b>0</b>	<b>0</b>	<b>8</b>	<b>10</b>	<b>10 (pending approval)</b>

\*Some AIC Providers are able to provide programming in multiple facilities based off of one awarded contract.

### C. State Level Considerations

## Analysis of Problem

The investment of these funds will be deeply rooted in the CAC's strategic plan, which was developed with significant input from the arts field and the public. The strategic plan calls for the agency to build public will and resources for the arts; foster accessible arts initiatives that reflect contributions from all of California's diverse populations; serve as a thought leader and champion for the arts; and provide effective, excellent, and relevant programs and services. The requested augmentation will serve all of these strategic goals. Numerous objectives and tasks in the CAC's strategic plan are specifically linked to this proposal. They include: "Collaborate and partner with other government agencies to maximize the potential for the integration of the arts across state government," "Ramp up relationships in development with state agencies," "Identify state agencies to determine if the arts are or could be incorporated into collaborative work," "Nurture ongoing relationships with geographically diverse and culturally-specific arts organizations," "Consider entrepreneurial opportunities in order to develop new resources," "Support research that informs various sectors on the value of the arts," "Leverage public and private partnerships and collaborations to create valuable programs that improve the lives of Californians," "Use research to inform actions," "Ensure clear, measurable outcomes are met by aligning evaluation requirements with Strategic Plan priorities," and "Explore pilot projects within the field to support innovative models that address systemic change".

Studies indicate that Arts-in-Corrections programs can have a positive impact on inmate behavior, provide incentives for participation in rehabilitative programs, and increase critical thinking, positive relationship building, and healthy behaviors. Further, Arts-in-Corrections can be beneficial in facilities that house long-term inmates as well by improving inmate relations and reducing inmate-staff conflict. The State of California is highly interested in programs that can help CDCR reach the goals from Chapter 15, Statutes of 2011 (AB 109), and Chapter 15, of the Statutes of 2011 (AB 117). A recent report by researcher Larry Brewster of the University of San Francisco demonstrates how Arts-in-Corrections may play a role. "There is evidence that prison fine arts programs provide authentic learning experiences that engage the minds and hearts of the incarcerated. Prison art program evaluations in the United States and elsewhere in the world have found strong correlations between arts education and improved work ethic, self-esteem, creativity, intellectual agility, motivation, self-confidence, emotional control, and an ability to work with others. Further, interdisciplinary research shows cognitive, social and personal competencies are cultivated through arts instruction and practice. The findings of this research are further evidence of the transformative power of the arts. "<sup>1</sup>

Brewster conducted a similar analysis in 1983, and his current report outlines the preliminary outcomes (detailed below) of Arts-in-Corrections programs at five different California correctional facilities, as well as a literature review of the research available on the subject.<sup>2</sup>

There is no known opposition to increasing Arts-in-Corrections funding.

### D. Justification

This proposal will allow the CAC to expand the Arts-in-Corrections programs. Currently the CAC is able to provide partial programming in 20 CDCR facilities. The additional funding will allow the CAC to provide more robust programming at the current facilities and will also allow Arts-in-Corrections programming at all CDCR Adult Institutions.

Without the Arts-in-Corrections program, the State of California would be without benefit of the outcomes mentioned in Brewster's previously referenced report:

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<sup>1</sup> "California Prison Arts: A Quantitative Evaluation" by Larry Brewster, University of San Francisco, October 2013, noting a report of an Arts-in-Corrections program overseas. Brewster has been evaluating the success of current Arts-in-Corrections programs in California correctional facilities. See more at <http://williamjamesassociation.org/ca-aic-eval-pr/>

<sup>2</sup> "Prison Arts Research Project: An Annotated Bibliography" was sponsored by the National Endowment for the Arts, compiled by researchers at the University of Oregon and others, and released in May of 2014. See <https://www.arts.gov/sites/default/files/Research-Art-Works-Oregon-rev.pdf>

## Analysis of Problem

- Inmates with previous arts education and practice, including former Arts in Corrections participants, were statistically more likely to be intellectually flexible, self-confident, motivated, in control of their emotions, socially competent, and better managers of their time than inmates who had never studied or practiced art.
- A comparison of the pretest-posttest survey results for the 31 inmates who had no previous arts education or practice showed a positive and statistically significant correlation between their participation in the theater, writing and visual arts classes and improved time management, achievement motivation, intellectual flexibility, active initiative, and self-confidence.
- Participants who had previously studied or practiced art were statistically more likely to pursue other educational and/or vocational programs than were those without arts education.
- A significant majority of participants reported that the art programs helped them to relieve stress, feel happier, and gain valuable insights. Over half (58%) said their art brought them closer to family; enriching their conversations and nurturing a new identity as artist, rather than convict.

**E. Outcomes and Accountability** *(Provide summary of expected outcomes associated with Budget Request and provide the projected workload metrics that reflect how this proposal improves the metrics outlines in the Background/History Section.)*

This level of augmentation would support the continuation of critical pilot investments made by the CAC and CDCR. This proposal would support the accomplishment of objectives as set out by the CAC in its strategic plan. It would meet the needs of the state and our communities. It will directly benefit the people of California and the future of our state.

### Projected Outcomes

Workload Measure	CY	BY	BY+1	BY+2	BY+3
Facilities with Arts-in-Corrections Programming	20	34	34	34	34
Contracts awarded to Arts-in-Corrections Providers	10 (pending approval)	pending	Pending	Pending	Pending

**FY 2016-17 current funding level**

AIC direct programming, adult institutions	\$ 1,700,000
AIC training and research	\$ 180,000
Arts Council admin (6%)	\$ 120,000
<b>TOTAL</b>	<b>\$ 2,000,000</b>

**FY 2016-17 with \$4 million augmentation (includes \$2 million existing funding)**

AIC direct programming, adult institutions	\$ 5,050,000
AIC training and research	\$ 350,000
Arts Council admin (10%) (includes 2 positions)	\$ 600,000
<b>TOTAL</b>	<b>\$ 6,000,000</b>

**FY 2017-18 with \$6 million augmentation (includes \$2 million existing funding)**

AIC direct programming, adult institutions	\$ 6,800,000
AIC training and research	\$ 400,000
Arts Council admin (10%) (includes 2 positions)	\$ 800,000
<b>TOTAL</b>	<b>\$ 8,000,000</b>

## Analysis of Problem

### F. Analysis of All Feasible Alternatives

Alternative 1: Support request for a \$4 million augmentation in 2016-17 and a \$6 million augmentation in 2017-18

This would allow the CAC to fully expand the Arts-in-Corrections program to make it available at all CDCR Adult Institutions.

Alternative 2: Deny additional funding for Arts-in-Corrections

This would allow the CAC to continue operating the Arts-in-Corrections program at a partial level to the existing 20 CDCR Adult Institutions depending on the funds provided by the IA with CDCR. This would leave the majority of CDCR Inmates without access to Arts-in-Corrections programming.

Alternative 3: Increase Arts-in-Corrections funding by \$2,000,000

This would allow the CAC to expand the Arts-in-Corrections program from its current incarnation. This would allow the CAC to add additional CDCR Adult Institutions, but would still leave many CDCR Inmates and institutions without access to Arts-in-Corrections programming.

### G. Implementation Plan

The CAC will utilize an expanded Request for Proposal (RFP) – Secondary Method, to find contractors to implement the Arts-in-Corrections at all available CDCR Facilities. The CAC will rely on the new Staff Services Manager I, and Associate Governmental Program Analyst, both dedicated to the Arts-in-Corrections program, to monitor and implement the new funding.

**Past Arts-in-Corrections Providers awarded a contract from the CAC through the RFP process, include:**

- Alliance for CA Traditional Arts
- William James Association
- Fresno Arts Council / Arts Council Kern
- Actor's Gang
- Marin Shakespeare Co.
- Muckenthaler Cultural Center
- Dance Kaiso
- Red Ladder Theatre Co.
- Inside Out Writers
- Strindberg Lab

### H. Supplemental Information *(Describe special resources and provide details to support costs including appropriate back up.)*

1. Workload justification for two requested positions

### I. Recommendation

The CAC recommends an augmentation of \$4,000,000 in FY 16/17, and \$6,000,000 in permanent ongoing funding beginning in 17/18, as well as authority for two additional positions, in order to expand the Arts-in-Corrections program to all CDCR Adult Institutions.

If the proposal is denied, the CAC will be unable to expand the Arts-in-Corrections program, depriving the State of California, CDCR, and the inmate participants of the benefits of the fully funded Arts-in-Corrections program.

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DEPARTMENT OF FINANCE  
COR/JID

**STAFF SERVICES MANAGER I (SSMI) AND ASSOCIATE GOVERNMENTAL PROGRAM ANALYST  
(AGPA)  
WORKLOAD JUSTIFICATION**

**SSMI (Specialist)**

Under the supervision of the Deputy Director, the SSMI will perform the following duties:

Duties	Hours
• Plan, direct, and lead the day-to-day operations of the AIC program.	465
• Communicate with CDCR Staff regarding the program.	200
• Set goals and objectives and develop internal policies and procedures for the program.	200
• Lead the contract process and review processes as related to programs.	200
• Lead in the preparation of program reports.	105
• Lead in the analysis of program data.	116
• Lead the development of program application forms and other related Program literature.	140
• Monitor the activities of AIC providers.	150
• Provide information to the public.	150
• Lead the planning/organizing of contractor conferences and other program related public meetings.	100
• Represent the program at public meetings.	150
Annual Total	1976

**AGPA**

Under the supervision of the Deputy Director, the AGPA will perform the following duties:

Duties	Hours
• Monitor the activities of AIC providers	465
• Analyze and Review proposals to determine eligibility, completeness and compliance with established Arts-in-Corrections program guidelines.	233
• Provide Scope of Work, and monitor AIC contracting activities.	291
• Prepare program reports.	180
• Analyze program data.	203
• Develop program application forms and other related Program literature.	140
• Act as a technical resource person for potential Program providers.	349
• Plan/organize contractor conferences and other program related public meetings.	116
Annual Total	1976

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