

STATE OF CALIFORNIA
Budget Change Proposal - Cover Sheet
 DF-46 (REV 08/15)

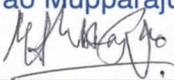
Fiscal Year 2016/17	Business Unit 8880	Department Financial Information System for California (FISCAL)	Priority No. 1
Budget Request Name 8880-001-BCP-DP-2016-GB		Program 6890 – STATEWIDE SYSTEMS DEVELOPMENT	Subprogram

Budget Request Description
 Special Project Report 6 - Project

Budget Request Summary

The Financial Information System for California (FISCAL) Project prepared updated total Project costs in Special Project Report (SPR) 6, which represent an increase in Project expenditures of \$110.3 million over SPR 5 and extend the FISCAL Project's overall Design, Development and Implementation (DD&I) phase by 12 months, implementing the final release of functionality and remaining state entities that are not statutorily excluded or exempt in July 2018. Additionally, 12 months of Knowledge Transfer extends the total Project schedule to June 2019.

SPR 6 identified a total fiscal year (FY) 2016/17 budget of \$135 million (\$96.2 million General Fund (GF), \$18.3 million Central Service Cost Recovery Fund (CSCRF) and \$20.5 million various special funds). The request for funding FISCAL has been broken into two separate Budget Change Proposal (BCP) requests to identify the Project costs and the establishment of the Department of FISCAL. The FY 2016/17 Project costs requested are \$92.4 million (\$71.9 million GF and \$20.5 million various special funds).

Requires Legislation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Code Section(s) to be Added/Amended/Repealed Various	
Does this BCP contain information technology (IT) components? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, departmental Chief Information Officer must sign.</i>	Department CIO Subbarao Mupparaju 	Date 01/04/2016

For IT requests, specify the date a Special Project Report (SPR) or Feasibility Study Report (FSR) was approved by the Department of Technology, or previously by the Department of Finance.

FSR SPR Project No. 8860-30 Date:

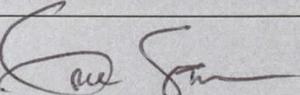
If proposal affects another department, does other department concur with proposal? Yes No
Attach comments of affected department, signed and dated by the department director or designee.

Prepared By Tiffany Henderson 	Date 12/31/15	Reviewed By Jennifer Carver 	Date 12/31/15
Department Director Miriam Barcellona Ingenito 	Date 12/31/15	Agency Secretary N/A	Date

Department of Finance Use Only

Additional Review: Capital Outlay ITCU FSCU OSAE CALSTARS Dept. of Technology

BCP Type: Policy Workload Budget per Government Code 13308.05

PPBA 	Date submitted to the Legislature 1/7/16
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BCP Fiscal Detail Sheet

BCP Title: Special Project Report 6-Project

DP Name: 8880-001-BCP-DP-2016-GB

Budget Request Summary

	FY16					
	CY	BY	BY+1	BY+2	BY+3	BY+4
Positions - Permanent	0.0	-68.2	46.0	0.0	0.0	0.0
Positions - Temporary	0.0	-5.8	0.0	0.0	0.0	0.0
Total Positions	0.0	-74.0	0.0	0.0	0.0	0.0
Salaries and Wages						
Earnings - Permanent	0	873	0	0	0	0
Earnings - Temporary Help	0	-930	0	0	0	0
Overtime/Other	0	-295	0	0	0	0
Total Salaries and Wages	\$0	-\$352	\$0	\$0	\$0	\$0
Total Staff Benefits	0	-7,941	0	0	0	0
Total Personal Services	\$0	-\$8,293	\$0	\$0	\$0	\$0
Operating Expenses and Equipment						
5301 - General Expense	0	-976	0	0	0	0
5302 - Printing	0	-328	0	0	0	0
5304 - Communications	0	9	0	0	0	0
5306 - Postage	0	-232	0	0	0	0
5320 - Travel: In-State	0	-317	0	0	0	0
5322 - Training	0	-1,037	0	0	0	0
5324 - Facilities Operation	0	-2,275	0	0	0	0
5340 - Consulting and Professional Services -	0	-13,433	0	0	0	0
5340 - Consulting and Professional Services -	0	-10,857	0	0	0	0
5344 - Consolidated Data Centers	0	-2,416	0	0	0	0
5346 - Information Technology	0	-397	0	0	0	0
5348 - Pro Rata	0	24	0	0	0	0
5368 - Non-Capital Asset Purchases - Equipment	0	-49	0	0	0	0
539X - Other	0	-206	-55,134	-108,910	-114,738	-114,738
Total Operating Expenses and Equipment	\$0	-\$32,490	-\$55,134	-\$108,910	-\$114,738	-\$114,738
Total Budget Request	\$0	-\$40,783	-\$55,134	-\$108,910	-\$114,738	-\$114,738

Fund Summary

Fund Source - State Operations						
0001 - General Fund	0	-30,139	-44,490	-98,266	-104,094	-104,094
0002 - Property Acquisition Law Money Account	0	-5	-5	-5	-5	-5
0003 - Motor Vehicle Parking Facilities Moneys	0	-1	-1	-1	-1	-1
0004 - Breast Cancer Fund	0	-1	-1	-1	-1	-1
0006 - Disability Access Account	0	-2	-2	-2	-2	-2
0009 - Breast Cancer Control Account, Breast	0	-2	-2	-2	-2	-2
0012 - Attorney General Antitrust Account	0	-1	-1	-1	-1	-1
0014 - Hazardous Waste Control Account	0	-24	-24	-24	-24	-24
0017 - Fingerprint Fees Account	0	-38	-38	-38	-38	-38
0020 - California State Law Library Special	0	-1	-1	-1	-1	-1
0022 - State Emergency Telephone Number	0	-3	-3	-3	-3	-3
0026 - State Motor Vehicle Insurance Account	0	-20	-20	-20	-20	-20
0028 - Unified Program Account	0	-4	-4	-4	-4	-4
0029 - Nuclear Planning Assessment Special	0	-8	-8	-8	-8	-8
0032 - Firearm Safety Account	0	-1	-1	-1	-1	-1
0033 - State Energy Conservation Assistance	0	-55	-55	-55	-55	-55
0035 - Surface Mining and Reclamation Account	0	1	1	1	1	1
0041 - Aeronautics Account, State Transportation	0	-2	-2	-2	-2	-2
0042 - State Highway Account, State	0	-1,541	-1,541	-1,541	-1,541	-1,541
0044 - Motor Vehicle Account, State	0	-1,461	-1,461	-1,461	-1,461	-1,461
0054 - New Motor Vehicle Board Account	0	-2	-2	-2	-2	-2
0064 - Motor Vehicle License Fee Account,	0	-18	-18	-18	-18	-18
0066 - Sale of Tobacco to Minors Control Account	0	-1	-1	-1	-1	-1
0067 - State Corporations Fund	0	-22	-22	-22	-22	-22
0069 - Barbering and Cosmetology Contingent	0	-7	-7	-7	-7	-7
0070 - Occupational Lead Poisoning Prevention	0	-3	-3	-3	-3	-3
0074 - Medical Waste Management Fund	0	-1	-1	-1	-1	-1
0075 - Radiation Control Fund	0	-12	-12	-12	-12	-12
0076 - Tissue Bank License Fund	0	-1	-1	-1	-1	-1
0080 - Childhood Lead Poisoning Prevention Fund	0	-10	-10	-10	-10	-10
0082 - Export Document Program Fund	0	-1	-1	-1	-1	-1
0098 - Clinical Laboratory Improvement Fund	0	-6	-6	-6	-6	-6
0099 - Health Statistics Special Fund	0	-12	-12	-12	-12	-12
0100 - California Used Oil Recycling Fund	0	-14	-14	-14	-14	-14
0106 - Department of Pesticide Regulation Fund	0	-26	-26	-26	-26	-26
0108 - Acupuncture Fund	0	-1	-1	-1	-1	-1
0111 - Department of Agriculture Account,	0	-59	-59	-59	-59	-59
0115 - Air Pollution Control Fund	0	-23	-23	-23	-23	-23
0117 - Alcoholic Beverage Control Appeals Fund	0	-1	-1	-1	-1	-1

0121 - Hospital Building Fund	0	-28	-28	-28	-28	-28
0129 - Water Device Certification Special Account	0	-1	-1	-1	-1	-1
0133 - California Beverage Container Recycling	0	-22	-22	-22	-22	-22
0140 - California Environmental License Plate	0	-40	-40	-40	-40	-40
0141 - Soil Conservation Fund	0	-1	-1	-1	-1	-1
0142 - Department of Justice Sexual Habitual	0	-1	-1	-1	-1	-1
0143 - California Health Data and Planning Fund	0	-11	-11	-11	-11	-11
0152 - State Board of Chiropractic Examiners	0	-7	-7	-7	-7	-7
0158 - Travel Seller Fund	0	-2	-2	-2	-2	-2
0163 - Continuing Care Provider Fee Fund	0	-1	-1	-1	-1	-1
0166 - Certification Account, Consumer Affairs	0	-1	-1	-1	-1	-1
0169 - California Debt Limit Allocation Committee	0	-1	-1	-1	-1	-1
0171 - California Debt and Investment Advisory	0	-1	-1	-1	-1	-1
0172 - Developmental Disabilities Program	0	-1	-1	-1	-1	-1
0175 - Dispensing Opticians Fund	0	-1	-1	-1	-1	-1
0179 - Environmental Laboratory Improvement	0	-1	-1	-1	-1	-1
0181 - Registered Nurse Education Fund	0	-1	-1	-1	-1	-1
0183 - Environmental Enhancement and Mitigation	0	-1	-1	-1	-1	-1
0191 - Fair and Exposition Fund	0	-1	-1	-1	-1	-1
0193 - Waste Discharge Permit Fund	0	-60	-60	-60	-60	-60
0194 - Emergency Medical Services Training	0	-1	-1	-1	-1	-1
0198 - California Fire and Arson Training Fund	0	-5	-5	-5	-5	-5
0200 - Fish and Game Preservation Fund	0	-47	-47	-47	-47	-47
0203 - Genetic Disease Testing Fund	0	-15	-15	-15	-15	-15
0205 - Geology and Geophysics Account,	0	-2	-2	-2	-2	-2
0207 - Fish and Wildlife Pollution Account	0	-1	-1	-1	-1	-1
0209 - California Hazardous Liquid Pipeline Safety	0	-1	-1	-1	-1	-1
0212 - Marine Invasive Species Control Fund	0	-3	-3	-3	-3	-3
0214 - Restitution Fund	0	-16	-16	-16	-16	-16
0217 - Insurance Fund	0	-93	-93	-93	-93	-93
0223 - Workers Compensation Administration	0	-164	-164	-164	-164	-164
0226 - California Tire Recycling Management Fund	0	-8	-8	-8	-8	-8
0228 - Secretary of States Business Fees Fund	0	-24	-24	-24	-24	-24
0231 - Health Education Account, Cigarette and	0	-9	-9	-9	-9	-9
0234 - Research Account, Cigarette and Tobacco	0	-7	-7	-7	-7	-7
0235 - Public Resources Account, Cigarette and	0	-5	-5	-5	-5	-5
0236 - Unallocated Account, Cigarette and	0	-2	-2	-2	-2	-2
0239 - Private Security Services Fund	0	-5	-5	-5	-5	-5
0240 - Local Agency Deposit Security Fund	0	-1	-1	-1	-1	-1
0242 - Court Collection Account	0	-5	-5	-5	-5	-5
0243 - Narcotic Treatment Program Licensing	0	-2	-2	-2	-2	-2
0245 - Mobilehome Parks and Special Occupancy	0	-2	-2	-2	-2	-2
0247 - Drinking Water Operator Certification	0	-1	-1	-1	-1	-1
0263 - Off-Highway Vehicle Trust Fund	0	-39	-39	-39	-39	-39
0271 - Certification Fund	0	-1	-1	-1	-1	-1
0272 - Infant Botulism Treatment and Prevention	0	-5	-5	-5	-5	-5
0276 - Penalty Account, California Beverage	0	3	3	3	3	3
0279 - Child Health and Safety Fund	0	-3	-3	-3	-3	-3
0280 - Physician Assistant Fund	0	-2	-2	-2	-2	-2
0281 - Recycling Market Development Revolving	0	-2	-2	-2	-2	-2
0286 - Lake Tahoe Conservancy Account	0	-1	-1	-1	-1	-1
0290 - Board of Pilot Commissioners Special Fund	0	-1	-1	-1	-1	-1
0293 - Motor Carriers Safety Improvement Fund	0	-1	-1	-1	-1	-1
0294 - Removal and Remedial Action Account	0	-1	-1	-1	-1	-1
0295 - Board of Podiatric Medicine Fund	0	-2	-2	-2	-2	-2
0298 - Financial Institutions Fund	0	-13	-13	-13	-13	-13
0299 - Credit Union Fund	0	-3	-3	-3	-3	-3
0305 - Private Postsecondary Education	0	-1	-1	-1	-1	-1
0306 - Safe Drinking Water Account	0	-9	-9	-9	-9	-9
0309 - Perinatal Insurance Fund	0	-1	-1	-1	-1	-1
0310 - Psychology Fund	0	-3	-3	-3	-3	-3
0312 - Emergency Medical Services Personnel	0	-1	-1	-1	-1	-1
0313 - Major Risk Medical Insurance Fund	0	-1	-1	-1	-1	-1
0317 - Real Estate Fund	0	-25	-25	-25	-25	-25
0318 - Collins-Dugan Calif Conservation Corps	0	-10	-10	-10	-10	-10
0319 - Respiratory Care Fund	0	-1	-1	-1	-1	-1
0320 - Oil Spill Prevention and Administration Fund	0	-27	-27	-27	-27	-27
0325 - Electronic and Appliance Repair Fund	0	-1	-1	-1	-1	-1
0326 - Athletic Commission Fund	0	-2	-2	-2	-2	-2
0328 - Public School Planning, Design, and	0	-20	-20	-20	-20	-20
0335 - Registered Environmental Health Specialist	0	-1	-1	-1	-1	-1
0336 - Mine Reclamation Account	0	-3	-3	-3	-3	-3
0338 - Strong-Motion Instrumentation and Seismic	0	-6	-6	-6	-6	-6
0347 - School Land Bank Fund	0	-1	-1	-1	-1	-1
0367 - Indian Gaming Special Distribution Fund	0	-13	-13	-13	-13	-13
0368 - Asbestos Consultant Certification Account,	0	-1	-1	-1	-1	-1
0376 - Speech-Language Pathology and	0	-1	-1	-1	-1	-1

0378 - False Claims Act Fund	0	-7	-7	-7	-7	-7
0381 - Public Interest Research, Development,	0	-4	-4	-4	-4	-4
0387 - Integrated Waste Management Account,	0	-15	-15	-15	-15	-15
0392 - State Parks and Recreation Fund	0	-67	-67	-67	-67	-67
0396 - Self-Insurance Plans Fund	0	-2	-2	-2	-2	-2
0399 - Structural Pest Control Education and	0	-1	-1	-1	-1	-1
0400 - Real Estate Appraisers Regulation Fund	0	-3	-3	-3	-3	-3
0407 - Teacher Credentials Fund	0	-1	-1	-1	-1	-1
0408 - Test Development and Administration	0	-3	-3	-3	-3	-3
0410 - Transcript Reimbursement Fund	0	-1	-1	-1	-1	-1
0412 - Transportation Rate Fund	0	-1	-1	-1	-1	-1
0421 - Vehicle Inspection and Repair Fund	0	-79	-79	-79	-79	-79
0425 - Victim - Witness Assistance Fund	0	-1	-1	-1	-1	-1
0434 - Air Toxics Inventory and Assessment	0	-1	-1	-1	-1	-1
0439 - Underground Storage Tank Cleanup Fund	0	-78	-78	-78	-78	-78
0447 - Wildlife Restoration Fund	0	-3	-3	-3	-3	-3
0448 - Occupancy Compliance Monitoring	0	-2	-2	-2	-2	-2
0452 - Elevator Safety Account	0	-3	-3	-3	-3	-3
0453 - Pressure Vessel Account	0	-3	-3	-3	-3	-3
0457 - Tax Credit Allocation Fee Account	0	-1	-1	-1	-1	-1
0458 - Site Operation and Maintenance Account,	0	-1	-1	-1	-1	-1
0460 - Dealers Record of Sale Special Account	0	-56	-56	-56	-56	-56
0461 - Public Utilities Commission Transportation	0	-5	-5	-5	-5	-5
0462 - Public Utilities Commission Utilities	0	-49	-49	-49	-49	-49
0464 - California High-Cost Fund-A Administrative	0	-2	-2	-2	-2	-2
0465 - Energy Resources Programs Account	0	-39	-39	-39	-39	-39
0471 - Universal Lifeline Telephone Service Trust	0	-11	-11	-11	-11	-11
0483 - Deaf and Disabled Telecommunications	0	-31	-31	-31	-31	-31
0493 - California Teleconnect Fund Administrative	0	-189	-189	-189	-189	-189
0497 - Local Government Geothermal Resources	0	-1	-1	-1	-1	-1
0502 - California Water Resources Development	0	-670	-670	-670	-670	-670
0507 - Central Valley Water Project Revenue Fund	0	-212	-212	-212	-212	-212
0514 - Employment Training Fund	0	-15	-15	-15	-15	-15
0516 - Harbors and Watercraft Revolving Fund	0	-13	-13	-13	-13	-13
0518 - Health Facility Construction Loan Insurance	0	-1	-1	-1	-1	-1
0557 - Toxic Substances Control Account	0	-12	-12	-12	-12	-12
0558 - Farm and Ranch Solid Waste Cleanup and	0	-1	-1	-1	-1	-1
0564 - Scholarshare Administrative Fund	0	-2	-2	-2	-2	-2
0565 - State Coastal Conservancy Fund	0	-1	-1	-1	-1	-1
0566 - Department of Justice Child Abuse Fund	0	-1	-1	-1	-1	-1
0567 - Gambling Control Fund	0	-4	-4	-4	-4	-4
0582 - High Polluter Repair or Removal Account	0	-24	-24	-24	-24	-24
0588 - Unemployment Compensation Disability	0	-143	-143	-143	-143	-143
0638 - Administration Account, California Children	0	1	1	1	1	1
0642 - Domestic Violence Training and Education	0	-1	-1	-1	-1	-1
0648 - Mobilehome-Manufactured Home	0	-3	-3	-3	-3	-3
0666 - Service Revolving Fund	0	-2,333	-2,333	-2,333	-2,333	-2,333
0679 - State Water Quality Control Fund	0	-14	-14	-14	-14	-14
0687 - Donated Food Revolving Fund	0	-4	-4	-4	-4	-4
0704 - Accountancy Fund, Professions and	0	-5	-5	-5	-5	-5
0706 - California Architects Board Fund	0	-3	-3	-3	-3	-3
0717 - Cemetery and Funeral Fund	0	-2	-2	-2	-2	-2
0735 - Contractors License Fund	0	-31	-31	-31	-31	-31
0739 - State School Building Aid Fund	0	-1	-1	-1	-1	-1
0741 - State Dentistry Fund	0	-6	-6	-6	-6	-6
0752 - Home Furnishings and Thermal Insulation	0	-3	-3	-3	-3	-3
0757 - California Board of Architectural Examiners -	0	-1	-1	-1	-1	-1
0758 - Contingent Fund of the Medical Board of	0	-30	-30	-30	-30	-30
0759 - Physical Therapy Fund	0	-2	-2	-2	-2	-2
0761 - Board of Registered Nursing Fund,	0	-13	-13	-13	-13	-13
0767 - Pharmacy Board Contingent Fund,	0	-9	-9	-9	-9	-9
0770 - Professional Engineer's, Land Surveyor's,	0	-5	-5	-5	-5	-5
0771 - Court Reporters Fund	0	-1	-1	-1	-1	-1
0773 - Behavioral Science Examiners Fund,	0	-4	-4	-4	-4	-4
0775 - Structural Pest Control Fund	0	-3	-3	-3	-3	-3
0777 - Veterinary Medical Board Contingent Fund	0	-3	-3	-3	-3	-3
0779 - Vocational Nurse and Psychiatric	0	-2	-2	-2	-2	-2
0780 - Psychiatric Technicians Account,	0	-1	-1	-1	-1	-1
0803 - State Childrens Trust Fund	0	-1	-1	-1	-1	-1
0813 - Self-Help Housing Fund	0	-2	-2	-2	-2	-2
0821 - Flexiselect Benefit Fund	0	-1	-1	-1	-1	-1
0829 - Health Professions Education Fund	0	-1	-1	-1	-1	-1
0840 - California Motorcyclist Safety Fund	0	-1	-1	-1	-1	-1
0904 - California Health Facilities Financing	0	-1	-1	-1	-1	-1
0908 - School Employees Fund	0	-1	-1	-1	-1	-1
0911 - Educational Facilities Authority Fund	0	-1	-1	-1	-1	-1
0914 - Bay Fill Clean-Up and Abatement Fund	0	-1	-1	-1	-1	-1

0918 - California Small Business Expansion Fund	0	3	3	3	3	3
0928 - Forest Resources Improvement Fund	0	-17	-17	-17	-17	-17
0933 - Managed Care Fund	0	-16	-16	-16	-16	-16
0940 - Bosco-Keene Renewable Resources	0	-1	-1	-1	-1	-1
0943 - Land Bank Fund	0	-1	-1	-1	-1	-1
0956 - State School Site Utilization Fund	0	4	4	4	4	4
0965 - Timber Tax Fund	0	-1	-1	-1	-1	-1
0980 - Predevelopment Loan Fund	0	-1	-1	-1	-1	-1
0985 - Emergency Housing and Assistance Fund	0	-4	-4	-4	-4	-4
1008 - Firearms Safety and Enforcement Special	0	98	98	98	98	98
3002 - Electrician Certification Fund	0	-2	-2	-2	-2	-2
3004 - Garment Industry Regulations Fund	0	-1	-1	-1	-1	-1
3010 - Pierces Disease Management Account	0	-1	-1	-1	-1	-1
3015 - Gas Consumption Surcharge Fund	0	-1	-1	-1	-1	-1
3016 - Missing Persons DNA Data Base Fund	0	-1	-1	-1	-1	-1
3017 - Occupational Therapy Fund	0	-1	-1	-1	-1	-1
3018 - Drug and Device Safety Fund	0	-3	-3	-3	-3	-3
3022 - Apprenticeship Training Contribution Fund	0	-6	-6	-6	-6	-6
3025 - Abandoned Mine Reclamation and Minerals	0	3	3	3	3	3
3030 - Workers Occupational Safety and Health	0	-1	-1	-1	-1	-1
3034 - Antiterrorism Fund	0	-2	-2	-2	-2	-2
3036 - Alcohol Beverages Control Fund	0	-28	-28	-28	-28	-28
3042 - Victims of Corporate Fraud Compensation	0	1	1	1	1	1
3046 - Oil, Gas, and Geothermal Administrative	0	-24	-24	-24	-24	-24
3053 - Public Rights Law Enforcement Special	0	-3	-3	-3	-3	-3
3056 - Safe Drinking Water and Toxic	0	-2	-2	-2	-2	-2
3057 - Dam Safety Fund	0	-5	-5	-5	-5	-5
3058 - Water Rights Fund	0	-8	-8	-8	-8	-8
3062 - Energy Facility License and Compliance	0	-1	-1	-1	-1	-1
3063 - State Responsibility Area Fire Prevention	0	-45	-45	-45	-45	-45
3065 - Electronic Waste Recovery and Recycling	0	-37	-37	-37	-37	-37
3069 - Naturopathic Doctors Fund	0	-1	-1	-1	-1	-1
3078 - Labor and Workforce Development Fund	0	-3	-3	-3	-3	-3
3080 - AIDS Drug Assistance Program Rebate	0	-1	-1	-1	-1	-1
3081 - Cannery Inspection Fund	0	-1	-1	-1	-1	-1
3084 - State Certified Unified Program Agency	0	-1	-1	-1	-1	-1
3085 - Mental Health Services Fund	0	-38	-38	-38	-38	-38
3086 - DNA Identification Fund	0	-101	-101	-101	-101	-101
3087 - Unfair Competition Law Fund	0	-4	-4	-4	-4	-4
3088 - Registry of Charitable Trusts Fund	0	2	2	2	2	2
3089 - Public Utilities Commission Ratepayer	0	-12	-12	-12	-12	-12
3091 - Certified Access Specialist Fund	0	-1	-1	-1	-1	-1
3098 - State Department of Public Health	0	-21	-21	-21	-21	-21
3099 - Mental Health Facility Licensing Fund	0	-1	-1	-1	-1	-1
3100 - Department of Water Resources Electric	0	-13	-13	-13	-13	-13
3101 - Analytical Laboratory Account, Department	0	-1	-1	-1	-1	-1
3103 - Hatchery and Inland Fisheries Fund	0	-8	-8	-8	-8	-8
3109 - Natural Gas Subaccount, Public Interest	0	-12	-12	-12	-12	-12
3113 - Residential and Outpatient Program	0	-1	-1	-1	-1	-1
3114 - Birth Defects Monitoring Program Fund	0	-3	-3	-3	-3	-3
3117 - Alternative and Renewable Fuel and	0	-54	-54	-54	-54	-54
3119 - Air Quality Improvement Fund	0	-98	-98	-98	-98	-98
3120 - State Fire Marshal Fireworks Enforcement	0	-1	-1	-1	-1	-1
3121 - Occupational Safety and Health Fund	0	-22	-22	-22	-22	-22
3122 - Enhanced Fleet Modernization Subaccount,	0	-26	-26	-26	-26	-26
3123 - Coastal Act Services Fund	0	-2	-2	-2	-2	-2
3137 - Emergency Medical Technician Certification	0	-1	-1	-1	-1	-1
3141 - California Advanced Services Fund	0	-2	-2	-2	-2	-2
3152 - Labor Enforcement and Compliance Fund	0	-1	-1	-1	-1	-1
3153 - Horse Racing Fund	0	-6	-6	-6	-6	-6
3155 - Lead-Related Construction Fund	0	-1	-1	-1	-1	-1
3160 - Wastewater Operator Certification Fund	0	-1	-1	-1	-1	-1
3165 - Enterprise Zone Fund	0	-2	-2	-2	-2	-2
3202 - Architectural Paint Stewardship Account,	0	-1	-1	-1	-1	-1
3209 - Office of Patient Advocate Trust Fund	0	3	3	3	3	3
3210 - Davis-Dolwig Account, California Water	0	-5	-5	-5	-5	-5
3211 - Electric Program Investment Charge Fund	0	-6	-6	-6	-6	-6
3212 - Timber Regulation and Forest Restoration	0	-2	-2	-2	-2	-2
3228 - Greenhouse Gas Reduction Fund	0	-63	-63	-63	-63	-63
3237 - Cost of Implementation Account, Air	0	-16	-16	-16	-16	-16
3240 - Secondhand Dealer and Pawnbroker Fund	0	1	1	1	1	1
3251 - Prepaid Mobile Telephony Services	0	3	3	3	3	3
3252 - CURES Fund	0	1	1	1	1	1
3254 - Business Programs Modernization Fund	0	1	1	1	1	1
3260 - Regional Railroad Accident Preparedness	0	13	13	13	13	13
3264 - Site Cleanup Subaccount	0	3	3	3	3	3
3268 - Senior Citizens and Disabled Citizens	0	3	3	3	3	3

3270 - Local Charges for Prepaid Mobile	0	4	4	4	4	4
8013 - Environmental Enforcement and Training	0	-1	-1	-1	-1	-1
8018 - Salton Sea Restoration Fund	0	3	3	3	3	3
8020 - Environmental Education Account	0	-1	-1	-1	-1	-1
8034 - Medically Underserved Account for	0	-1	-1	-1	-1	-1
9730 - Technology Services Revolving Fund	0	-230	-230	-230	-230	-230
9731 - Legal Services Revolving Fund	0	-103	-103	-103	-103	-103
9737 - FISCal Internal Services Fund	0	-1,264	-1,264	-1,264	-1,264	-1,264
9739 - State Water Pollution Control Revolving	0	-7	-7	-7	-7	-7
9746 - Natural Gas Services Program Fund	0	1	1	1	1	1
Total State Operations Expenditures	\$0	\$-40,783	\$-55,134	\$-108,910	\$-114,738	\$-114,738
Total All Funds	\$0	\$-40,783	\$-55,134	\$-108,910	\$-114,738	\$-114,738

Program Summary

Program Funding						
6890 - Statewide Systems Development	0	-40,783	-55,134	-108,910	-114,738	-114,738
Total All Programs	\$0	\$-40,783	\$-55,134	\$-108,910	\$-114,738	\$-114,738

Analysis of Problem

A. Budget Request Summary

The Financial Information System for California (FISCAL) Project updated total Project costs in Special Project Report (SPR) 6, which represent an increase in Project expenditures of \$110.3 million over SPR 5 and extend the FISCAL Project's overall Design, Development and Implementation (DD&I) phase by 12 months, implementing the final release of functionality and remaining state entities that are not statutorily excluded or exempt in July 2018. Additionally, 12 months of Knowledge Transfer extends the total Project schedule to June 2019.

In fiscal year (FY) 2016/17, FISCAL requests \$45.1 million to support the changes identified in SPR 6. This brings the total FY 2016/17 budget to \$135 million (\$96.2 million General Fund (GF), \$18.3 million Central Service Cost Recovery Fund (CSCRF) and \$20.5 million various special funds). This request has been broken into two separate Budget Change Proposal (BCP) requests to identify the Project costs and the establishment of the Department of FISCAL.

The FY 2016/17 Project costs requested are \$92.4 million (\$71.9 million GF and \$20.5 million various special funds) and the Departmental costs requested are \$42.6 million (\$24.3 million GF and \$18.3 million CSCRF), being submitted in a companion BCP.

During the development of SPR 6, FISCAL re-baselined its budget, evaluated and redirected existing resources to Project or Department activities, and identified additional costs. The change in Project compared to SPR 5 are related to:

FY 2016/17: Increase SPR 5 Project Costs by \$41.6 million and 25.0 Full Time Equivalents (FTE)s to:

- Add \$34.1 million for contracts:
 - \$22.3 million for System Integrator costs (Accenture) (\$21.0 million new costs, \$5.8 million existing costs previously reflected in continuing budget, -\$4.4 million correction made after SPR 5 was approved)
 - \$0.9 million for project management and Independent Verification & Validation (IV&V) contracts
 - \$10.9 million for additional Project related contracts
- Add \$6.5 million in staff costs
 - \$2.4 million for 25.0 new FISCAL positions for the Technology Team
 - \$4.1 million from the evaluation and re-direction of existing resources
- Add \$1.0 million for hardware/software related to SPR 6

FY 2017/18: Increase SPR 5 Project costs by \$57.2 million and 21.0 FTEs to:

- Add \$52.7 million for contracts:
 - \$46.1 million for System Integrator costs (Accenture) (\$39.7 million new costs, \$2 million existing costs previously reflected in continuing budget, \$4.4 million correction made after SPR 5)
 - \$6.8 million for additional Project related contracts
 - -\$0.2 million for project management and IV&V contracts
- Add \$5.3 million in staff costs
 - \$1.3 million for 21.0 new FISCAL FTEs for the Technology Team (FISCAL requested partial year funding and full FTEs)
 - \$2.4 million for the continuation of 25.0 positions from FY 2016/17
 - \$1.6 million from the evaluation and re-direction of existing resources
- Reduce -\$0.8 million due to hardware/software and miscellaneous Project costs.

FY 2018/19: Increase SPR 5 by \$7.5 million to extend the Accenture contract for Knowledge Transfer.

B. Background/History

The FISCAL Project began in 2005 as a Department of Finance Feasibility Study, and has grown into one of the largest and most dynamic information technology undertakings in the history of the State. When fully implemented, FISCAL will eliminate hundreds of independent legacy systems and department-specific applications that now support internal business process operations of the state.

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Most of these antiquated legacy systems were built in the 1970s and 1980s and have exceeded their useful lives. In addition, most of these systems do not communicate with each other, and business operations often rely on separate downstream databases. These databases must also be maintained and often contain duplicate or inconsistent data. Because of the decentralized and antiquated nature of the state's business operating systems, the state's financial operations have become highly inefficient, costly to operate and maintain, and challenging to manage.

The FISCal Project will integrate and significantly re-engineer the statewide business processes related to budgeting, accounting, cash management, and procurement, and it will embed more standardization, transparency, discipline, effectiveness, and efficiency in these crucial business processes. Once the system has been successfully designed, developed, and tested, a robust Change Management Program conducted throughout State government will contribute to the successful implementation of FISCal.

FISCal Project Governance is represented by a Project Directorate, Project Sponsor, Steering Committee, Executive Partner, and Project Director. The Department of Finance (DOF), State Controller's Office (SCO), State Treasurer's Office (STO), and the Department of General Services (DGS) provide Project oversight in the form of Project Directorate, Project Sponsor, and Steering Committee. The Department of Technology is an advising member of the Steering Committee and provides assurance the FISCal Project is meeting the state requirements for information technology projects. The FISCal Project's Customer Impact Committee (CIC) is made up of department representatives who are responsible for promoting active participation and engagement from FISCal participating departments. The CIC Chair is a voting member of the Steering Committee.

C. State Level Considerations

The need to replace the state's financial management infrastructure exists from both a practical as well as a business perspective. Failure to modernize and replace the infrastructure will result in a continuation of the decentralized processes and limitations that exist today for managing the state's financial enterprise. The state must replace the current infrastructure with the "Next Generation" of systems (including the business processes that align with best practices in financial management).

FISCal will prepare the state to thrive in an integrated financial environment. The state must move forward and plan for the future. Large projects take significant time to develop and implement, and the state cannot responsibly wait until the legacy systems, crucial to California's fiscal stability, collapse. The aging and retirement of a core workforce that is knowledgeable about the state's systems and business processes/requirements further compounds the risk of the aging infrastructure. It is already extremely difficult to hire consultants to support outdated technologies and to hire staff that is willing to learn antiquated systems architecture and code. A shift from a legacy environment to a web-centric enterprise environment will provide an opportunity to bolster the staff knowledgeable about these systems and processes. This will play a major role in the succession planning for financial management of the state enterprise. FISCal will replace the current state centralized accounting system, CalSTARS, and many standalone systems supporting accounting, budgeting, cash management, procurement, and contracting.

Replacing the business infrastructure with an Enterprise Resource Planning (ERP) system and related business processes, as well as transitioning the workforce to view and operate the state's business as a dynamic enterprise, will enhance the state's ability to operate a successful business enterprise. FISCal will bring unprecedented functionality, automation, transparency, and flexibility to the State's decision makers enabling California to be more effective in delivering services to its constituents while simultaneously providing increased responsiveness to the needs of California businesses and local governments. The precision with which California decision makers will be able to make decisions in the areas of budgeting, accounting, cash management, and procurement and contracting will be unparalleled. Decision making facilitated by FISCal will not be limited to the FISCal Project's core functionality areas. All programmatic and policy decisions will be supported better by the core financial information FISCal will provide. Additionally, as a result of the automation, process standardization, transparency, and audit capabilities provided by the FISCal solution, the state will implement

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safeguards and capabilities never before available to California to reduce fraud, abuse, and misuse of public funds.

Without FISCal, it will be nearly impossible to introduce valuable financial management strategies such as zero based budgeting and performance based budgeting. The existing state systems do not have the flexibility to support current and future standards and policies regarding accounting, budgeting, cash management, and procurement.

D. Justification

In the year and a half since approval of SPR 5, the Project has seen notable successes, including:

- Wave 1 accounting, budgeting, and procurement functionality was deployed to 722 users within 11 State entities in July 2014. DOF went live with the control budget functions as well as DOF's departmental accounting, budget, and procurement functions. Wave 1 functionality also provides centralized vendor data management as well as a standard chart of accounts (COA) and budget structure. Note that subsequent to SPR 5, the California Department of Aging (CDA) moved from Wave 1 to Wave 2, and the Board of Equalization (BOE) and Department of Justice (DOJ) moved from Wave 1 to Wave 4.
- The Wave 2 Summer Release was deployed in August 2015, implementing accounting, budgeting, and procurement functionality for the Wave 2 State entities, as well as new project, grant, and contract functionality for both Wave 1 and Wave 2 State entities. Note that as part of SPR 6, additional statewide procurement functionality is planned for release prior to July 2016. This includes modules for purchasing authority and improvements to the online solicitation process. In addition, SPR 6 reflects the move of the Activity-Based Management System (ABMS) core accounting functionality for DGS from July 2015 to July 2016, allowing more time for design and data conversion. SPR 6 also provides additional ABMS functionality for real property leasing and project costing in two minor releases (February and June 2017). This move and additional functionality were necessitated by the complexity of ABMS and the fact that this is the first time the Project will be implementing a fee-for-service department.
- SCO and STO departmental accounting offices (internal accounting and procurement) completed additional testing prior to transacting in FISCal. This additional testing was required based on the agencies' lessons learned from other projects. SCO began transacting in FISCal on December 15, 2014. STO budgeting went live in July 2014, and STO internal accounting and procurement went live with the Wave 2 Summer Release. Note that SCO and STO needed this extra time to familiarize themselves with FISCal. In addition, these agencies had a high volume of manual transactions that were too labor intensive to be sustainable. Accordingly, SCO and STO opted for automated conversion.
- SCO now audits all Wave 1 and 2 department vouchers in FISCal. This Control Agency function was augmented with the release of the custom Pre-Payment Audit Tool in Wave 2.
- Project resources were redirected to the FISCal Service Center (FSC) to support the new Wave 1 and 2 functionality and end users. In addition to providing technical support, this FSC expansion includes centralized business services, access management, and coordination with the business and change management functions within FISCal to provide education and training support.
- User support labs and job aids were made available to end users. For Wave 2, Project support teams were established to provide State entities with onsite assistance when they required enhanced support.

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- Month-end-close reports were developed and deployed to support month-end and post-closing reconciliation processes.
- The 2015-16 Governor's Budget was released in January 2015 and the enacted Budget Act of 2015-16 was completed in July 2015 with FISCal (using the Hyperion application) as the Budget System of Record. As background, in July 2014 the Project deployed accounting functionalities for Wave 1 State entities in PeopleSoft and statewide budget preparation in Hyperion. Changes have been made to Hyperion to streamline manual entry of data. Further changes are being made to improve usability, end-user satisfaction, and System responsiveness.

The successes noted above have not come without major challenges. During this time the Project has gained a greater understanding of the work required to implement complex Control Agency functions (i.e. statewide procurement, Accounting Book of Record, General Ledger Book of Record, etc.) while addressing the requirements of diverse State entities. As a result, leadership has identified the need to revise the implementation approach for the remainder of the Project.

SPR 6 proposes one additional FISCal release in July 2018 and increases the duration of subsequent Knowledge Transfer to 12 months. Note that this SPR has no impact on Pre-Wave, Wave 1, or Wave 2 Summer Release, which are already implemented, nor on Wave 2's Fall Release.

SPR 6 includes the following changes:

- Shifts SCO and STO Control Agency functions (core accounting and cash management) from the July 2016 Release (Wave 3) to the July 2017 Release
- Spreads the in-scope entities currently planned for PeopleSoft implementation from one release to three releases: July 2016 Release, July 2017 Release, and July 2018 Release
- Deploys statewide budgeting in the July 2016 Release (moved from July 2017)
- Upgrades the Hyperion software
- Shifts the Transparency Website from the July 2017 Release (Wave 4) to the July 2018 Release
- Incorporates the following additional Technology and Security Roadmap items:
 - Automated User Provisioning – July 2017 Release
 - Security Information and Event Management – July 2017 Release
 - Legacy Data Repository + Enhancements – July 2017 Release
 - Business Transaction Monitoring – July 2018 Release
 - Systems Development Life Cycle Tools Refresh – July 2018 Release
- Extends Accenture resources through June 2019 to support Knowledge Transfer to the State Team.

In terms of implementation, SPR 6 proposes moving the Project away from the "wave" concept, instead focusing on major "releases." As with waves, the Project will continue to perform necessary activities to support implementation for each major release. However, with the term *releases*, the Project becomes more consistent with other major IT projects, aligning with the proven concept of major and minor releases. The advantage over *waves* is that the Project can deploy functionality in minor releases as it becomes ready.

More than just a change in wording, the term *releases* recognizes the Project's need to integrate two essential aspects of implementation:

- **Maintaining solid target dates:** Like waves, SPR 6's major releases provide solid target dates. These dates allow both the Project and the State entities to prepare for deployment of significant functionality.
- **Building in schedule flexibility:** Unlike waves, releases build in schedule flexibility to benefit Project outcomes. For functionality, this includes minor releases as functionality

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becomes ready within the scheduled major release year. This means those scheduled to onboard on July 1, could onboard anytime through October of the same release year, or be moved to next year's July release if they are deemed by the Project as not ready.

As part of determining which State entities will be onboarded in each release, the Project will spend considerable time and effort to better understand, analyze, and group State entities into deployment cohorts with similar financial management needs. This process will help to ensure that State entities are onboarded successfully in all three releases without overloading the final Control Agency release. The approach will also reduce risk and allow the Project more time to prepare the final onboarding of State entities.

To serve these State-entity cohorts, the Project will create internal support teams composed of experts in end-to-end FISCal processes. Teams will have the ability to support multiple modules. These teams will respond to System questions, support engagement activities, and provide business process documentation.

To determine which entities to target for implementation, the Project will evaluate System and State-entity readiness at two checkpoints in the implementation process for each release:

- (1) At the end of the Analyze Phase, the Project will assess whether FISCal requires adjustments for successful deployment to the State entities scheduled for that release. If adjustments are needed, the entity will move to the following year's release. One benefit to this approach is that it will accelerate the Project's ability to understand how State entities conduct business, which directly ties to how they will use FISCal for their business processes and lends itself to a smoother transition.
- (2) During the Testing Phase, the Project will evaluate State entities on their readiness to onboard to FISCal. If the Project determines that an entity is close but not completely ready for its scheduled July Release, onboarding will be moved to August through October of the same year. However, the entity's conversion data will still be loaded per the July release schedule. The Project will provide three months of post-Production support to State entities following onboarding. The Project will be developing the assessment criteria.

In addition, throughout the 2016 and 2017 implementations, the Project will regularly evaluate the readiness of State entities and report this to leadership. This evaluation will serve to determine the remedial steps needed to bring an entity to readiness, or whether a change in implementation plan for that entity is necessary. Early intervention will be important. Note that if a 2016 or 2017 State entity has not completed the required tasks to be ready for onboarding in October, the Project will move that entity to the following year's July release.

The assessment of whether to move one or more State entities to a future release will take into account the Project's capacity to onboard the entity/entities in the following release year. This analysis will include determining how many support teams the Project can establish and how much effort is necessary for configuring the entities based on size and complexity.

Since SPR 6 provides entities with up to two years to prepare for FISCal implementation, the Project expects that all remaining State entities will be ready for the July 2018 Release. As such, no onboarding is scheduled beyond this date.

July 2016 Release

Functionality To Be Implemented:

- **Budgets 2.0 + Reports:** Includes a partial redesign of the foundational elements of the budget system in the areas of data entry, performance, and security to improve usability, end-user satisfaction, and system responsiveness.
- **Oracle Business Intelligence:** Includes deployment of the Business Intelligence, Data Warehouse, and Reporting capabilities included in the original contract scope.
- **Deployment of Statewide Budgeting:** Includes the deployment of Hyperion to all remaining State entities.

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- **DGS/ABMS** – Includes implementing DGS's departmental accounting in FISCal, replacing ABMS, as well as budgeting and procurement. In addition, to address the complexity of DGS's real property leasing and project costing, SPR 6 deploys real property leasing modules and additional Primavera functions in the February and June 2017 minor releases.

Technology To Be Implemented:

- **PeopleSoft 9.2 and PeopleTools 8.5.4 Upgrades:** Includes a PeopleSoft 9.2 upgrade that was approved as part of SPR 5, as well as additional PeopleTools 8.5.4 functionality that was not originally scoped.

These upgrades will allow the State to be on the latest, generally accepted versions of PeopleSoft and PeopleTools to facilitate long-term application support and maintenance. The Project's plan has always included an upgrade to the latest application version.

- **Hyperion Upgrade:** Includes upgrading Hyperion software to Version 11.1.2.4 as the latest, generally accepted version. An upgrade to the latest application version has always been part of the Project's plan.

State Entities To Be Onboarded:

The July 2016 Release will onboard 10 State entities (this includes 7 departments, including DGS ABMS and 3 funds, consisting of 1 large, 2 medium, and 7 small) to FISCal PeopleSoft, along with budget functionality for all State entities. However, the large entity is only implementing procurement functionality with this release.

July 2017 Release

In addition to adding State entities and functionality to FISCal, the July 2017 Release offers two significant benefits: (1) the schedule allows increased time for design and testing that the Control Agencies (SCO and STO) find invaluable, and (2) the additional functionality deployed will make FISCal a fully integrated system, such that the solution will become the System of Record for accounting, budgets, procurement, and cash management. Specific elements of the July 2017 Release are as follows:

Functionality To Be Implemented:

- **Remaining Budget Scope + Enhancements:** Completes the deployment of all remaining in-scope budget functionality to DOF and all other users of the budget solution.
- **SCO/STO Control Functionality:** Includes deploying SCO and STO control functions within the original Project scope on a lengthened timeframe to allow for a more robust build/test cycle. As a result, FISCal will be the Book of Record for accounting and cash management in July 2017.

Technology To Be Implemented:

- **Automated User Provisioning:** Improve the efficiency and accuracy of user-security provisioning by providing self-service capability to FISCal user administrators within State entities. This feature will enable administrators to submit user-access requests through the System and will process such requests through automated workflows. Currently this is a manual, labor-intensive, error-prone process.

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- **Security Information and Event Management:** Implement a security management solution to proactively collect system logs and analyze information contained in those logs for security events as a basis for preventing and responding to security incidents per State policies. The Project's plan has always included this.

Note that, in terms of security, the State team prepared a Plan of Action and Milestones per State information security requirements and is currently executing the planned actions. In accordance with State Security policies, the Project will continue to perform Independent Security Assessment (through a vendor) after the implementation of every major release (i.e., Fall 2015, June 2016, June 2017, and June 2018 releases).

- **Legacy Data Repository:** Implement a data platform to provide self-service features to State entities, allowing them to securely store, view, and report on their legacy data as needed. Implementing a data platform to provide self-service features to State entities will enable the State to avoid the "conversion" of data to PeopleSoft schema. This data repository will be available for State entities who need it and will facilitate the retirement of unsupported legacy systems when State entities onboard to FISCal.
- **Hardware/Technology Refresh:** Includes refreshing the System's hardware/technical component (e.g., servers) to ensure the infrastructure can sustain long-term usage. The Project's plan has always included a hardware/technology refresh.

State Entities To Be Onboarded:

The July 2017 Release will also onboard approximately/up to 50 State entities (consisting of 14 large, 14 medium, and 22 small) to FISCal PeopleSoft.

July 2018 Release

Functionality To Be Implemented

Transparency Website: The public-facing Transparency Website will allow for quick reporting of State expenditures and other key financial data as required. Although included in the original contract scope, Transparency Website functionality will be deployed on a later date as a result of SPR 6.

In July 2018, the Transparency Website will go live and begin automatically extracting/capturing FISCal transactions as intended. At this point, FISCal functionality will be fully implemented, and State entities will be entering all transactions in the System. In July 2019, after the System has captured a full year of transactions, the Transparency Website will open to the public, allowing public users to view important financial information for the State. Under this plan, the Transparency Website will have a complete record of financial data at the time of its public release.

Technology Improvements To Be Implemented

Business Transaction Monitoring: Implement Business Transaction Monitoring to achieve real-time visibility into FISCal users' business transactions. This will give FISCal operations staff the ability to track transaction status and health so as to manage transaction errors and efficiently deliver the best possible experience to State-entity users.

Systems Development Life Cycle and Operations Support Tools Refresh: Implement Project-owned systems development life cycle and Operations Support Tools for requirements management, test and defect management, and IT Service Management. This includes migrating FISCal data from Accenture's current shared tools before assuming System operations and maintenance.

State Entities To Be Onboarded:

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The July 2018 Release will onboard the remaining State entities (65 currently scheduled, consisting of 24 large, 11 medium, and 30 small) to FISCal. Most of these were previously slated for Wave 4.

The SPR 6 proposal offers the following major benefits:

- Provides extended design validation and testing for complex SCO/STO control functions.
- Allows redesign and statewide rollout of Hyperion functionality and upgrades in 2016 with implementation of all remaining budget-related functionality in 2017.
- Implements a multifaceted approach to engaging and onboarding State entities, recognizing that the best outcomes are achieved when Project and State-entity staff work together as a team to create a successful, long-term experience for State-entity users.
- Provides an additional year for onboarding State entities and a full year for adequate Knowledge Transfer after all entities and functionality are deployed.
- Incorporates a revised staffing plan to help address an ongoing Project challenge: competing demands for a limited number of subject matter experts to focus on multiple, concurrent activities.
- By splitting State entities into three releases, increases the effectiveness of post-go-live support by: (1) allowing the Project to focus on a smaller set of State entities, and (2) reducing the resource and schedule challenges of going live all at once or in only two groups.
- Lays the foundation for the future state of the FISCal Project organization as a permanent, ongoing department (please see the companion BCP).

New Project Positions Requested:

Project positions requested by fiscal year are all Technical, as follows:

Unit	FY 2016/17	FY 2017/18
Enterprise Security Group	6.0	0.0
Business Intelligence and Analytics Services	6.0	1.0
Transition and Operation	4.0	3.0
Infrastructure Services	3.0	1.0
Application Services - Hyperion	2.0	3.0
Application Services - PeopleSoft and Primavera	1.0	6.0
Internal Applications and Integration Services	2.0	0.0
Software Platform Services	1.0	7.0
Total	25.0	21.0

Detailed charts with positions requested for each area follow:

Enterprise Security Group (Security)

FY 2016/17

Classification	PY	Effective Date	Role
SYSTEMS SOFTWARE SPEC III-TECH	1.0	8/1/2016	Identity and Access Management Architect
SYSTEMS SOFTWARE SPEC III-TECH	1.0	9/1/2016	Oracle Security Tools Administrator
SYSTEMS SOFTWARE SPEC II-TECH	1.0	7/1/2016	Information Security/Penetration Tester
SYSTEMS SOFTWARE SPEC II-TECH	1.0	7/1/2016	Log management and SIEM
SYSTEMS SOFTWARE SPEC II-TECH	1.0	7/1/2016	User Access Management/ Fulfillment
SYSTEMS SOFTWARE SPEC II-TECH	1.0	7/1/2016	User Access Management/ Fulfillment
Total	6.0		

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Security will take over the user access management and fulfillment responsibilities from Accenture during the fiscal year 2016-17. These responsibilities will grow as more departments go live with FISCal system and will continue during steady state. Security will continue to administer the security tools during the steady state. Additionally, this group will take over the log management and security information and event management responsibilities including the support of tools used for these functions from Accenture. Security will need additional resources to support user access management and fulfillment, log management, security information and event management, and to design and implement automated user provisioning functions.

Business Intelligence and Analytics Services

FY 2016/17

Classification	PY	Effective Date	Role
DP MGR III	1.0	7/1/2016	Manager - Business Intelligence and Analytics
SR INFORMATION SYSTEMS ANALYST-SPEC	1.0	7/1/2016	BI Reports Analyst
SR PROGRAMMER ANALYST-SPEC	1.0	7/1/2016	BI Reports developer
SYSTEMS SOFTWARE SPEC III-TECH	1.0	7/1/2016	BI Logical DBA
SYSTEMS SOFTWARE SPEC III-TECH	1.0	1/1/2017	Legacy Data Repository Admin
SYSTEMS SOFTWARE SPEC II-TECH	1.0	7/1/2016	BI ETL Developer
Total	6.0		

FY 2017/18

Classification	PY	Effective Date	Role
SR PROGRAMMER ANALYST-SPEC	1.0	7/1/2017	BI Reports developer
Total	1.0		

FISCal is implementing Oracle Business Intelligence Enterprise Edition for reports and analytics in June 2016. As of SPR5, this product was estimated to be an out of the box implementation and thus no state staff was planned to support report development and administration. Several departments including DGS have a need for customized reports. FISCal is planning to share the report development effort with Accenture to limit costs. FISCal is also planning to assume responsibility for the Oracle Business Intelligence Enterprise Edition and report development support around October 2017 after the production stabilization period. FISCal is also planning to implement a Legacy Data Repository as a platform-as-a-service in the second quarter of 2017 and take over the support at the completion of the initial implementation. FISCal needs to create a dedicated Business Intelligence and Analytics team to support this initiative.

Transition and Operations

FY 2016/17

Classification	PY	Effective Date	Role
DP MGR II	1.0	4/1/2017	Release Coordinator
SYSTEMS SOFTWARE SPEC III-TECH	1.0	7/1/2016	Automated Regression Testing
SYSTEMS SOFTWARE SPEC III-TECH	1.0	7/1/2016	Performance Testing
SYSTEMS SOFTWARE SPEC II-TECH	1.0	7/1/2016	System Integration Testing
Total	4.0		

FY 2017/18

Classification	PY	Effective Date	Role
SR PROGRAMMER ANALYST-SPEC	1.0	4/1/2018	Production Support - Applications Level 2
SYSTEMS SOFTWARE SPEC II-TECH	1.0	4/1/2018	Production Support - IT Level 2
SYSTEMS SOFTWARE SPEC II-TECH	1.0	4/1/2018	Production Support - Security
Total	3.0		

Analysis of Problem

In order to efficiently provide production support, FISCal is planning to staff the operations team to support user-initiated and system-initiated incidents that need to be resolved quickly and leverage the application services, and infrastructure and platform services teams to resolve non-critical issues and repair defects. The transition and operations services team will also take over the technical testing (automated regression tests, performance tests, and systems integration tests) of application releases (defect fixes and enhancements) from Accenture.

Infrastructure Services

FY 2016/17

Classification	PY	Effective Date	Role
SYSTEMS SOFTWARE SPEC III-TECH	1.0	4/1/2017	Oracle Virtual Machine Administrator
SYSTEMS SOFTWARE SPEC II-TECH	1.0	4/1/2017	Network Administrator
SYSTEMS SOFTWARE SPEC II-TECH	1.0	5/1/2017	Windows Server Support
Total	3.0		

FY 2017/18

Classification	PY	Effective Date	Role
SYSTEMS SOFTWARE SPEC I-TECH	1.0	7/1/2017	Windows Server Support
Total	1.0		

SPR5 assumed that most of the FISCal infrastructure would be managed by Office of Technology Services (OTech). However, the majority of the hardware and software utilized by the FISCal system are not supported by OTech. Re-platforming the FISCal system to OTech supported hardware and software at this stage of the Project is risky and requires significant financial resources. Infrastructure Services currently supports 136 servers. In fiscal year 2018/19, FISCal will support an additional 136 servers, which are currently supported by Accenture. Only 25 of these servers can be moved to OTech, and the remaining 251 servers will be supported by FISCal. Additionally, FISCal will assume responsibility for the support of the FISCal system network components and additional security components in fiscal year 2018/19, which include F5, FireEye, Websense, and Qualysis.

Application Services – Hyperion

FY 2016/17

Classification	PY	Effective Date	Role
SR PROGRAMMER ANALYST-SPEC	1.0	7/1/2016	BI Publisher Reports Developer
SR PROGRAMMER ANALYST-SPEC	1.0	4/1/2017	Financial Reports Developer
Total	2.0		

FY 2017/18

Classification	PY	Effective Date	Role
DP MGR III	1.0	4/1/2018	Application Services Manager - Hyperion
SR PROGRAMMER ANALYST-SPEC	1.0	4/1/2018	Hyperion Developer
SYSTEMS SOFTWARE SPEC III-TECH	1.0	4/1/2018	Hyperion Administrator
Total	3.0		

The Hyperion Application Services team will take over the level 3 production support, ongoing maintenance and enhancements tasks of Hyperion including its administration after the Budget 2.0 is implemented. Additional resources are required to support the additional workload of the Hyperion system, to maintain the Hyperion Financial Reports and Business Intelligence Publisher Reports, and to support additional reports development and enhancement tasks based on DOF's business needs.

Application Services – PeopleSoft and Primavera

FY 2016/17

Analysis of Problem

Classification	PY	Effective Date	Role
SR INFORMATION SYSTEMS ANALYST-SPEC	1.0	7/1/2016	PeopleSoft Technical Design
Total	1.0		

FY 2017/18

Classification	PY	Effective Date	Role
SR PROGRAMMER ANALYST-SPEC	1.0	7/1/2017	PeopleSoft Developer
SR PROGRAMMER ANALYST-SPEC	1.0	7/1/2017	PS/Primavera/Hyperion Integration Developer
SR INFORMATION SYSTEMS ANALYST-SPEC	1.0	4/1/2018	PeopleSoft Technical Design
SR PROGRAMMER ANALYST-SPEC	1.0	4/1/2018	PeopleSoft Developer
SR PROGRAMMER ANALYST-SPEC	1.0	4/1/2018	Primavera Developer
SYSTEMS SOFTWARE SPEC III-SUPVRY	1.0	4/1/2018	ERP Application Administration and Dev Sup
Total	6.0		

The PeopleSoft and Primavera Application Services team will take over the level 3 production support, ongoing maintenance and enhancements tasks of over 150 Accenture staff. To support these tasks during steady state the PeopleSoft and Primavera Application Services team need to be staffed to support 15 major PeopleSoft modules and over 800 custom components in steady state.

Internal Applications and Integrations Services

FY 2016/17

Classification	PY	Effective Date	Role
SR PROGRAMMER ANALYST-SPEC	1.0	7/1/2016	Web Developer
SYSTEMS SOFTWARE SPEC II-TECH	1.0	10/1/2016	SharePoint Administrator
Total	2.0		

FISCAL is implementing a web-based statewide vendor portal in December 2015, during steady state, FISCAL will take over the ongoing support of this vendor portal. A Web Developer is required to support the vendor portal during maintenance and operations. FISCAL internal teams leverage SharePoint for the Operational Decision Making Framework, Issue and Risk Management, and several other team collaboration tasks. FISCAL also expanded the access to SharePoint to partner staff involved in the FISCAL system releases. The tech team is currently understaffed to support SharePoint and is relying on contractors. In order to be self-sufficient and to provide ongoing support, FISCAL will need a SharePoint administrator.

Software Platform Services

FY 2016/17

Classification	PY	Effective Date	Role
SYSTEMS SOFTWARE SPEC III-TECH	1.0	5/1/2017	Essbase DBA
Total	1.0		

FY 2017/18

Classification	PY	Effective Date	Role
SYSTEMS SOFTWARE SPEC III-TECH	1.0	7/1/2017	Oracle Business Intelligence Administrator
SYSTEMS SOFTWARE SPEC III-TECH	1.0	7/1/2017	Oracle Data Integrator and SOA Administrator
SYSTEMS SOFTWARE SPEC III-SUPVRY	1.0	2/1/2018	Middleware and DBA Supervisor
SYSTEMS SOFTWARE SPEC III-TECH	1.0	2/1/2018	PeopleSoft Administrator
SYSTEMS SOFTWARE SPEC III-TECH	1.0	2/1/2018	Weblogic Administrator
SYSTEMS SOFTWARE SPEC II-TECH	1.0	2/1/2018	SDLC Tools Administrator
SYSTEMS SOFTWARE SPEC III-TECH	1.0	4/1/2018	PeopleSoft DBA
Total	7.0		

Analysis of Problem

SPR5 assumed that most of the FISCal infrastructure would be managed by OTech. However, FISCal system utilizes several major software products including Oracle Identity and Access Manager, Oracle Business Intelligence, PeopleSoft, Hyperion, Primavera, GRC, and Weblogic, which are not supported by OTech. It is essential that FISCal maintain and operate the software products used by the FISCal system. Currently, the SDLC tools (Requirements Management, Test Management) are not owned by FISCal, but are supported by Accenture's shared infrastructure. These tools need to be installed and configured to setup a dedicated Systems Development Life Cycle environment for FISCal before the state takes over the operations. Software Platform Services will take over the support of the FISCal system software during steady state (fiscal year 2018/19). These system software components are currently supported by Accenture's global team of over 25 FTEs. Software Platform Services will need additional staff to perform software administration activities for Systems Development Life Cycle Tools, Oracle Data Integrator, Weblogic, Oracle Business Intelligence, Monitoring Tools and other Middleware. FISCal currently does not have staff to support these software products. Software Platform Services will need additional staff to administer the PeopleSoft and Essbase databases. Currently the unit is staffed to support only development databases.

E. Outcomes and Accountability

The FISCal Project is monitored in accordance with state approved policies as documented in the State Administrative Manual and the State Information Management Manual. The FISCal Project follows and complies with the California Project Management Methodology as required by the Department of Technology. These standards ensure the Project meets the requirements of the state's Information Technology Project Oversight Framework, identifies and mitigates Project risks and issues, and adheres to the Project schedule. Pursuant to Section 11864 of the Government Code, the Bureau of State Audits independently monitors the FISCal Project and issues a report at least annually. Independent Project Oversight (reports on the overall health of the FISCal Project and ensures that Project deliverables are satisfied. Independent Verification & Validation verifies the FISCal Project approach and deliverables will produce the desired outcome, and validates the system developed meets the accepted requirements.

F. Analysis of All Feasible Alternatives

Alternative #1 – Extend the Project 12 months and add 12 months of Knowledge Transfer, change Project implementation to major and minor releases, with major releases scheduled for July 1 of each fiscal year, accelerate the access of remaining State entities to FISCal budget system, provide one additional FISCal release in July 2018, move SCO and STO control functionality to July 2017, add additional Primavera functionality and real property leasing modules in minor releases in February and June 2017.

Pros:

- Includes redesign and statewide rollout of Hyperion functionality and upgrades in 2016 with implementation of all remaining budget-related functionality in 2017.
- Extends design validation and testing for complex SCO/STO control functions.
- Provides dedicated resources to support SCO/STO Control Agency design, build, and deployment.
- Provides dedicated resources for State-entity deployment.
- Implements a multi-faceted approach to engaging and onboarding State entities. This approach recognizes that the best outcomes are achieved when Project and State-entity staff work together as a team to create a successful, long-term experience for State-entity users.
- Gives the Project flexibility to onboard State entities in August to October of the same release year if the Project finds minor readiness issues that can be resolved by October.

Analysis of Problem

- Recognizes that the Transparency Website's success depends on all State entities transacting in the system.
- Provides hands-on-keyboard Knowledge Transfer approach for State staff.

Cons:

- Increases Project costs by \$110.3 million.
- Extends the Project timeline by two years.
- Differs from state entity expectations for a July 2017 go-live date, which some entities may already be preparing for.
- Delays public access to the Transparency Website until all State entities have been onboarded and have transacted in FISCal for a full year.
- Significant risk of onboarding State entities at the same time as Control Agencies still exists; mitigation activities will need to be developed and closely monitored.

Alternative #2 – Extend the Project schedule by 12 months, move SCO/STO control function implementation from July 2016 to July 2017, move DGS SBMS functionality to July 2016, accelerate rolling out Hyperion to remaining departments in July 2016, add additional release for hardware and software upgrade in January 2017, move Transparency Website out to the final go-live date of July 2017, and incorporate one year of Knowledge Transfer from Accenture to State personnel to support long-term O&M.

Pros:

- Maintains July 2017 as the final go-live date, meeting current State-entity expectations and schedules for readiness and onboarding.
- Addresses the complexity of SCO/STO control functions by allowing more time for design validation and testing before implementation.
- Transparency Website is available to the public sooner, as all State entities are onboarding and transacting earlier than in Alternative #1.
- Provides a full year for Knowledge Transfer after all entities and functionality are deployed.

Cons:

- Poses significant risk to Project success by implementing all remaining State entities at one time.
- Increases Project costs by \$56.2 million.
- Adds one year to the Project schedule.
- Increases the number of users in the remaining State entities, which is significantly larger than in previous waves.
- Strains Project resources and staff to onboard all remaining State entities at one time and support Control Agency deployment concurrently.
- Delays public access to the Transparency Website until all State entities have been onboarded and have transacted in FISCal for a full year.

Alternative #3 – Extend the Project schedule by three years, move SCO/STO control function implementation from July 2016 to July 2017, move DGS ABMS functionality to July 2016, accelerate rolling out Hyperion to remaining State entities by moving this implementation from July 2017 to July 2016, split remaining State entities into two deployment groups: Group 1 would go live in July 2018, and Group 2 would go live in July 2019, allow the Project to focus on SCO/STO Control Agency

Analysis of Problem

analysis and design validation in 2016 and then focus on remaining State entities, extend Transparency Website, incorporate one full year of Knowledge Transfer from Accenture to State personnel to support long-term O&M.

Pros:

- Provides time for FISCal functionality to be fully completed before onboarding the remaining State entities.
- Provides two additional years for onboarding State entities and a full year for adequate Knowledge Transfer after all entities and functionality are deployed.
- Provides time to ensure a quality ABMS solution that meets DGS's unique needs.
- Allows the Project to focus on SCO/STO Control Agency analysis and design validation in 2016 with few competing priorities.
- Allows the Project to focus on other state entity deployments from 2017 to 2019 with few competing priorities.
- Provides relief from resource constraints.
- By moving out deployment of the Transparency Website, recognizes that the website's success depends on all State entities transacting in the system.
- Provides a full year for adequate Knowledge Transfer after all entities and functionality are deployed. This supports long-term O&M.

Cons:

- Increase Project costs by \$152.4 million.
- Extends the Project schedule by three years.
- Increases risk by implementing all remaining State entities in only two deployment groups as compared to having three releases in Alternative #1.
- Disengages other State entities for a year while the Project focuses on fully completing functionality that needs to be in place prior to other entities going live.
- Makes State entities wait longer for FISCal, potentially impacting their legacy systems and budgets.
- Delays public access to the Transparency Website until all State entities have been onboarded and have transacted in FISCal for a full year.

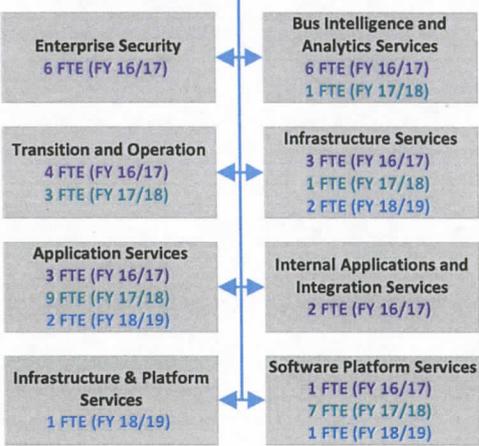
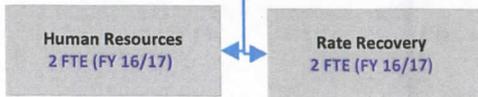
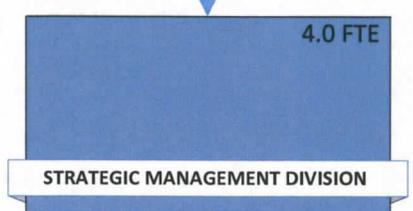
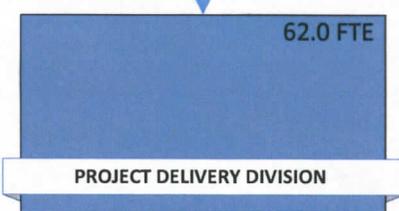
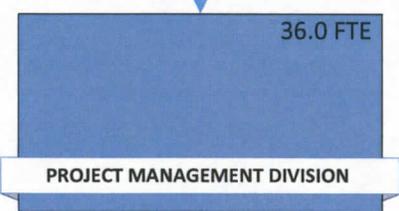
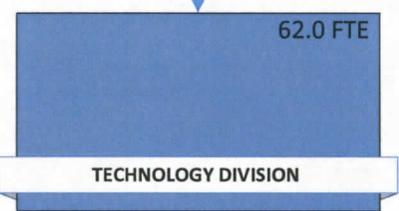
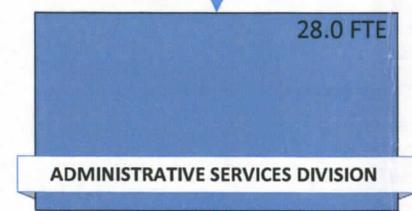
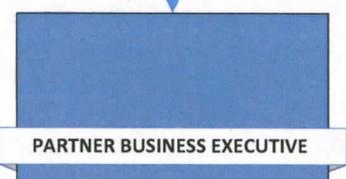
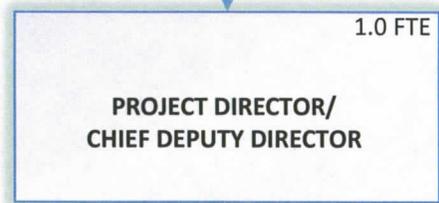
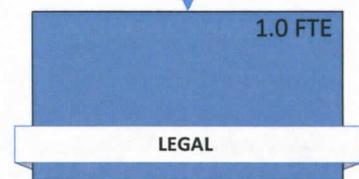
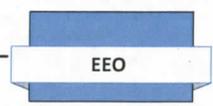
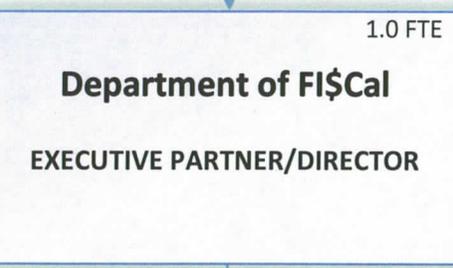
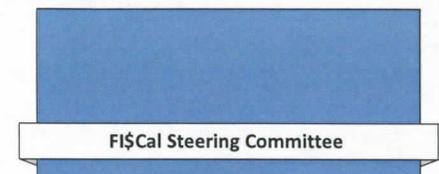
G. Implementation Plan

With the approval of Alternative #1, the FISCal Project will change the schedule as outlined to reduce the risks facing the success of completing the transformation of the State's financial management infrastructure with a "Next Generation" of systems.

H. Supplemental Information

I. Recommendation

Approve Alternative #1. This change in the implementation approach will provide the time and resources necessary to reduce the associated risks with the adoption of new budgeting, accounting, procurement, and cash management business processes.



New Positions by FY
 FY 16/17
 FY 17/18
 FY 18/19